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DESIGN REQUIREMENTS FOR WEAPONIZING MAN-PORTABLE UAS IN SUPPORT OF COUNTER-SNIPER OPERATIONS

by

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DESIGN REQUIREMENTS FOR WEAPONIZING MAN-PORTABLE UAS IN SUPPORT OF COUNTER-SNIPER OPERATIONS

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ABSTRACT

The sniper is a highly successful tool used by the enemy to create both physical and psychological effects on U.S. and Coalition forces. A single enemy sniper can pin down an entire company-sized element for an extended period of time, resulting in measurable disruptions in operations. This threat is as old as the rifle itself but has been somewhat shadowed by the proliferation of the Improvised Explosive Device (IED) over the past few years. Nevertheless, many resources are being dedicated to counter-sniper technology to include: permanently mounted radar systems, vehicle mounted systems, and shot detection systems worn by the individual Soldier to identify the point of origin (POO) of the small arms fire and thus the location of the sniper.

This location is extremely helpful information, but knowledge of the sniper's location alone will not always be enough to regain freedom of maneuver. If the sniper is free to target, his target is not free to maneuver. This thesis explores the design requirements of weaponizing man-portable UAS at the tactical level in support of counter-sniper operations so that the sniper is not free to operate without risk. These systems are already commonly deployed on the battlefield, and if a scalable weapons system capability can be provided, it will immediately reduce the effectiveness of the adversary snipers.

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LIST OF ACRONYMS AND ABBREVIATIONS

AO Area of Operations

AF Air Force

C2 Command and Control

CG Center of Gravity

COIN Counter-Insurgency Operations

COTS Commercial Off-the-Shelf

COP Common Operational Picture

DARPA Defense Advanced Research Project Agency

DAU Defense Acquisition University

DHS Department of Homeland Security

DoD Department of Defense

EO Electrical Optical

EOD Explosive Ordnance Disposal

FOB Forward Operating Base

FOV Field of View

FPV First Person Video

GPS Global Positioning System

GCS Ground Control Station

GTOW Gross Takeoff Weight

HMWWV High Mobility Multipurpose Wheeled Vehicle

HUD Heads-Up Display

HVT High Value Target

IED Improvised Explosive Device

IR Infrared

ISR Intelligence, Surveillance, and Reconnaissance

JMETL Joint Mission Essential Task List

JTF Joint Task Force

LOS Line-of-Sight

MAGTF Marine Air Ground Task Force

MAV Micro Air Vehicle

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MCTL Marine Corps Task List
MET Mission Essential Task

METL Mission Essential Task List
MEU Marine Expeditionary Unit

MOS Military Occupational Specialty

MSL Mean Sea Level

MTVR Medium Tactical Vehicle Replacement

NPS Naval Postgraduate School

NRT Near Real-Time

NTA Navy Tactical Tasks

OEF Operation Enduring Freedom

OIF Operation Iraqi Freedom

OODA Observe Orient Decide Act

ONR Office of Naval Research

PID Positive Identification

PO Payload Operator

POO Point of Origin

R&D Research and Development

RADAR Radio Detection and Ranging

RF Radio Frequency

ROE Rules of Engagement

RSTA Reconnaissance, Surveillance, and Target Acquisition

RVT Remote Video Terminal

SA Situational Awareness

SIGINT Signal Intelligence

SOF Special Operations Forces

SOP Standard Operating Procedures

SU Situational Understanding

SUAV Small Unmanned Aerial Vehicle

TIC Troops In Contact

TNT Tactical Network Topology

TST Time Sensitive Targets

TTLI Tracking, Tagging, Locating and Identifying

TTP Tactics, Techniques, and Procedures

UAV Unmanned Aerial Vehicle
UAS Unmanned Aerial Systems
UJTL Universal Joint Task List
UNS Urgent Needs Statement
UNTL Universal Naval Task List

U.S. United States
UT Unit Trainer

USN United States Navy

USMC United States Marine Corps

USA United States Army

USSOCOM United States Special Operations Command

VO Vehicle Operator

WIA Wounded In Action

WX Weather

EXECUTIVE SUMMARY

The sniper is a highly successful tool used by the enemy to create both physical and psychological effects on U.S. and Coalition forces. A single enemy sniper can pin down an entire company-sized element for an extended period of time resulting in measurable disruptions in operations. This threat is as old as the rifle itself, but has been somewhat overshadowed by the proliferation of the Improvised Explosive Device (IED) over the past few years. Nevertheless, many resources are being dedicated to counter-sniper technology, to include: permanently mounted radar systems, vehicle mounted systems, and shot detection systems worn by the individual soldier to identify the origin of the small arms round and thus the origin of the sniper.

Man-portable UAS are extremely attractive because of their small lightweight design, which permits the common soldier to carry the system inside of a single backpack. Secondly, the systems are launched by hand, relieving the unit of cumbersome launch equipment and supplies associated with larger UAS. This portability is crucial to mounted or dismounted ground units because they already carry an enormous amount of weight per soldier. Personnel can also completely assemble and launch the UAS within minutes, after very little training. UAS provide battalion level and below commanders with a capability to see beyond line of sight along intended routes of travel, at adjacent terrain, or to get eyes on potential danger areas. If these same vehicles could also serve as an extension of small arms used at the tactical level to counter snipers, much more could possibly be gained from current SUAS and sniper detection systems while maintaining the original ISR capability.

This thesis will explore the design requirements of weaponizing man-portable UAS in order to provide a quick reaction counter-sniper capability while also preserving the UAS for continuous ISR operations.

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I. INTRODUCTION

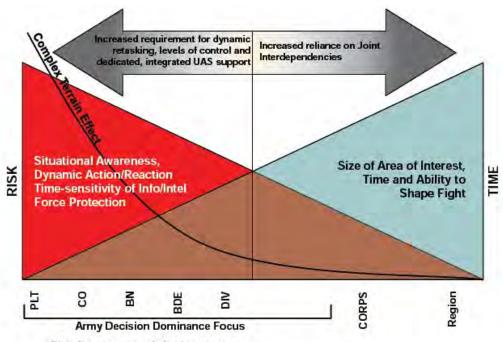
A. THE PROBLEM

Since the invention of the modern rifle, snipers have been successfully employed in combat. Highly trained snipers are invaluable reconnaissance assets to a commander and can be used for countless other missions to include counter-sniper operations. The benefits gained through employing this capability accrue to our enemies as well, particularly following the initial highly kinetic invasion phase of operations. Throughout Operations Iraqi Freedom (OIF) and Enduring Freedom (OEF), enemy snipers have been especially effective against U.S. and Coalition forces because of the nature of counter-insurgency (COIN) operations (Jervis, 2006). U.S. forces of company-sized elements or smaller routinely patrol vast distances over harsh terrain within their areas of responsibility (AOR) via dismounted patrols on foot or mounted in convoys where they are constantly exposed to sniper attacks. COIN operations require constant interaction with the local populace in order to be successful, further increasing the risk of attack. In this asymmetric environment, the enemy will employ any measure to prevent the success of COIN operations and one of their most effective weapons is the time tested sniper (Golnar, 2010).

Snipers can position themselves within crowded urban areas where they are difficult to identify, and where risk of collateral damage prevents U.S. forces from using large munitions to eliminate them. Snipers can use extreme terrain to create natural obstacles and land barriers between themselves and U.S. forces to prevent counterattacks. Once engaged by a sniper, conventional U.S. forces face the extremely challenging problem of determining the sniper's location, confirming the location, maneuvering to the location, and finally eliminating the sniper. Consequently, an effective sniper can pin down an entire company-sized element for an extended period of time, resulting in measurable disruptions to operations. This disruption only escalates with added sniper-induced casualties.

Until recent technological breakthroughs, nothing differentiated the strategy of a Korean War era platoon commander pinned down by a sniper from that of a modern one fighting in mountainous Afghanistan. Both commanders would have had to put someone at risk by maneuvering into a position from which the sniper's location could be identified, while possibly attempting to draw fire from the sniper so that the sniper reveals his location. Ultimately, someone would be required to maneuver in order to eliminate the sniper or force him to reposition. Today, however, forces are equipped with counter-sniper systems that immediately aid in determining the sniper's location. This saves valuable time in maintaining momentum, aids in quickly formulating a plan, and reduces the chaos and confusion for a commander in the aftermath of a sniper attack. A sniper's suspected position is vital information to the commander; however, it does not mitigate the risk to human life in maneuvering to counter the sniper.

Additionally, small unit leaders have a capability never before enjoyed by a ground force throughout the history of warfare. Now, more than ever, small units are being issued man-portable Unmanned Aerial Systems, or the interchangeable term Small Unmanned Aircraft Systems (SUAS), which can be carried inside of a backpack and launched within minutes at the commander's discretion. No requests to higher command, no airspace de-confliction, and in many cases, orders of magnitude faster in time when compared to a dismounted patrol or to waiting for UAS support from higher levels of With the information gained from the gunshot detection system, the commander can order the launch of the SUAS in order to get a video feed needed to build his situational awareness (SA) of the suspected location or confirm the location. Figure 1 depicts the relationships between the level of command, risk, time, and how they affect the requirement for a dedicated UAS capability. As unit size decreases, the risk increases with time, and compounds the need for an organic UAS capability. Figure 2 depicts a notional scenario in which the SUAS can be employed against an enemy sniper. Since the sniper threat presents the most risk at the tactical level, it is a perfect example of the need for organic UAS capability within these units.



- · Risk decreases as echelon increases
- · Time to react increases as echelon increases
- · Complex terrain increases risk, need for SA, and time-sensitivity of intel/info
- · Critical for lower echelons to have dynamic ability to rapidly confirm/deny reports

Figure 1. U.S. Army UAS effects on risk/time based on level of echelon (From U.S. Army unmanned aircraft systems roadmap 2010–2035, 2010)

Currently, ISR capable SUAS do not change the age old sniper equation. Even with the additional SA gained through the employment of the SUAS, the sniper's ability to engage freely is not effected by an ISR asset and a human will have to eliminate him. This is a capability gap that remains to be addressed. Filling this capability gap by weaponizing the SUAS so that they can be used to locate, distract, or possibly eliminate adversary snipers would the commander and the unit under attack. The focus of this thesis is to explore the design requirements for weaponizing man-portable non-disposable UAS in support of counter-sniper operations.

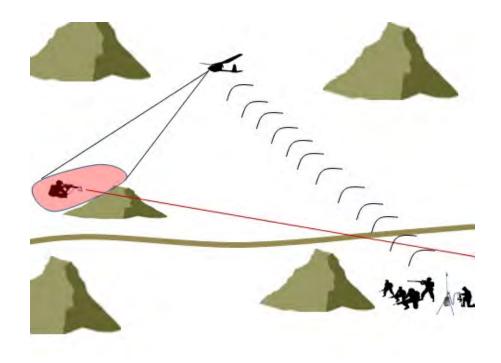


Figure 2. Man-portable UAS used in support of counter-sniper operations

B. OBJECTIVES

The goal of this research is to explore the design requirements of weaponizing man-portable UAS through capabilities-based field experimentation. Conceptual design requirements will be leveraged from existing man-portable UAS, specifically, by weaponizing a RQ-11B Raven, RQ-151 Pointer, and COTS quadrotor UAS with a proof-of-concept nonlethal paintball gun in order to explore the feasibility of taking an existing system and modifying it with a small arms capability. Specific issues to be addressed include:

- 1. The trigger mechanism controllability, functionality, operator workload, and integration with the rest of the communications system. Much effort has gone into optimizing how a soldier interfaces with current UAS and this could be affected by adding the additional tasks of also targeting and firing on a sniper.
- 2. The armed UAS flight characteristics at various profiles. Size, Weight, and Power (SWAP) considerations are inherent to all aircraft design. UAS will suffer decreased endurance by adding any additional weight or parasitic drag. SUAS have a

fixed amount of available power. Any change to the design will affect the performance of the aircraft. This work examined at the tradeoffs associated with the additional weight and drag associated with adding a small arms payload, how the flight characteristics and endurance are affected and if these tradeoffs are worth the additional counter-sniper capability. SUAS are designed to work at low altitudes, which enable them to get close enough to a sniper while being small enough to make them extremely hard to shoot down. Because of their small size and low available power, the weight of the small arms payload will be the limiting factor in the overall design of the system. This weight restriction will require exploration into current technology in weapons miniaturization, manufacturing materials, and advancements beyond the traditional heavy mechanical small arms solutions being used by the operating forces.

- 3. The majority of the color electro-optical (EO) cameras on SUAS are hard mounted into the payload in a fixed-focus and fixed-position optimal for persistent ISR missions. This effort examined how the current cameras can be used in a counter-sniper mission, how the camera can be bore-sighted and aimed and overall camera functionality and compatibility with the rest of the communications systems.
- 4. Next, the research will explore how much weaponizing the SUAS will affect the required launch time. One of the greatest attributes of the SUAS is their simplicity and short time to launch. Potentially, the impact of the added workload of mounting and arming a small arms payload is of concern. Safety concerns associated with launch and recovery were also explored.
- 5. Finally, this work explored the overall effect gained by weaponizing the SUAS, more specifically, how accurate was the nonlethal payload delivery with respect to target location.

C. THESIS STRUCTURE

In Chapter II, the current UAS situation within the DoD, current man-portable UAS variants, and the increasing demand for UAS is discussed. In Chapter III, the focus is on the enemy with respect to DoD combat operations and how the potential for man-portable UAS capabilities at the tactical level to counter this threat.

Chapter IV explores the design requirements for weaponizing man-portable UAS in support of counter-sniper operations through field experiments, which utilized both fixed wing and rotary wing man-portable UAS armed with a proof of concept nonlethal paintball gun. Chapter IV also discusses the potential lethal small arms solutions to weaponize man-portable UAS.

In Chapter V, the research findings are discussed and recommendations for future work are suggested.

II. PRESENT MILITARY UAS APPLICATIONS

Since the execution of OIF and OEF, the United States has invested heavily in unmanned systems, specifically UAS. The UAS revolution has impacted most aspects of air warfare to include increased intelligence and situational awareness, increased lethality and precision, and decreased collateral damage (Hearing on Budget Request on Unmanned Aerial Vehicles [UAV] and Intelligence, Surveillance, and Reconnaissance [ISR] Capabilities, 2007). However, the largest return on investment and the driving factor for UAS proliferations has been the reduced risk gained by having a relatively inexpensive robot replace a human in performing the dull, dirty, and dangerous missions.

Dull missions executed by manned aircraft such as ISR can span more than 20 hours per mission. Manned ISR missions require a pilot to fly for 11 hours or more, which can be extremely taxing physiologically. "Military and civilian applications such as extended surveillance can be a dulling experience for aircrew, with many hours spent on watch without relief, and can lead to a loss of concentration and therefore a loss of mission effectiveness" (Austin, 2010, p. 5). Taking mission effectiveness and safety aside, we have hit a point in ISR extended flight time missions where the human has become the limiting factor in keeping a platform airborne for the maximum possible time.

The overwhelming success of the Predator drone in Iraq and Afghanistan has been recognized by other organizations that also face manpower shortages. Since September 11, 2001, the United States increased its ISR missions at unprecedented rates. The Predator fleet was increased by 350% in order to support the demand (House of Representatives, Air and Land Forces Subcommittee, 2007). "The little drone has quickly become perhaps the busiest U.S. asset in the air. From June 2005 to June 2006, Predators carried out 2,073 missions, flew 33,833 hours, surveyed 18,490 targets, and participated in 242 separate raids. Even with this massive effort, there is demand for more" (Singer, 2011).

The demand for UAS is also increasing within the Central Command area of responsibility. The U.S. Department of Homeland Security (DHS) is responsible for

patrolling more than 3,000 miles of U.S. border—an infinitely large ISR mission that falls into the "dull" category. The DHS has three Predators flying along the Mexican border, two along the Canadian border, and one over the Caribbean. "In the last five years, predators have helped net 40,000 pounds of drugs and nab 7,000 illegal immigrants, according to Homeland Security" (Orr, 2010).

Dirty missions may require aircrews to fly into Nuclear, Biological, or Chemical (NBC) contaminated air space where specialized suits would have to be worn and both the aircraft and aircrews would have to undergo extensive detoxification procedures upon completion of the mission (Austin, 2010). UAS can penetrate beyond the limits of aircrew NBC gear or aircrew physiological limitations. In the event of NBC gear failure, both the aircrew and aircraft could be lost. This is not the case with a robot (UAS Vision, 2011). Machines do not suffer from the same g-force limitations, they do not need to be augmented with oxygen systems or pressurized cabins, neither do they succumb to crew coordination mistakes (Austin, 2010).

On March 11, 2011, an earthquake off the coast of Japan triggered a tsunami that hit the Japanese coast devastating everything in the path, including a nuclear power plant which housed four nuclear reactors (Dorell, 2011). The damaged nuclear reactors were leaking dangerous levels of radiation into the ocean and atmosphere for miles surrounding the plant. This made the area extremely difficult to access by humans or even land-based robots. "A camera was mounted on a remote-controlled helicopter (Honeywell T-Hawk) to get pictures of the damaged reactors from above in hopes of getting a better look at the damaged housings of the No. 1, 3 and 4 reactors" (Smith, 2011). Historically, a human would have accepted the risk of life-threatening radiation exposure in order to assess the reactors, but robots can penetrate dirty areas with sophisticated high-definition cameras (UAS Vision, 2011). Imagery gained from UAS in situations like this is crucial for planners to accurately assess the situation and put the least amount of human lives at risk during repair and containment missions.

A very important reason for investing in UAS is to reduce the risk to human life associated with dangerous missions. "Lower downside risk and higher confidence in mission success are two strong motivators for continued expansion of unmanned systems

across a broad spectrum of warfighting and peacetime missions" (Under Secretary of Defense, 2007, p. 33). Technology is, however, a resource shared by all and does not only favor the United States. The same benefits of technology enjoyed by the United States are now beginning to spread throughout the globe and will also benefit the militaries of enemy states. As enemy technology improves in anti-air defense systems, the risk to the United States of sending highly trained aircrews aboard multimillion dollar aircraft against them will begin to outweigh the intended effects. "Adversaries ashore are obtaining new guided rockets, artillery, mortars, and missiles (G-RAMM). Together, these developments undermine the lead in guided weapons warfare that the United States and its allies have enjoyed since the end of Operation DESERT STORM, and they threaten to eliminate the virtual operational sanctuary our Navy has enjoyed at sea since World War II" (Work, 2010). UAS are generally smaller than their manned counterparts, which results in a smaller radar cross section and therefore a reduced chance of being eliminated by enemy air defenses. Additionally, UAS are much cheaper to build than manned aircraft. The loss of a UAS does not also result in the loss of highly trained aircrew (Austin, 2010).

Humans require complicated flight control systems and tailored physiological support systems in order to fly. Human-machine interface points within the aircraft are some of the most vital design requirements of a manned aircraft. By removing humans and the associated cabin space, controls, and components needed by human pilots, engineers can design UAS much more efficiently to accomplish the same missions and carry the same payloads. "The UAV equipped with surveillance sensors can be typically only 3–4% of the weight, require only 2.5% of the engine power (and 3% fuel consumption) and 25% of the size (wing/rotor span) of the light aircraft" (Austin, 2010, p. 7). Cost savings has been a driving factor for the shift from manned aircraft to UAS.

A. UAS CATEGORIES

Current UAS categorization within DoD can differ greatly depending on the level of command, the service component, and within the joint services. While this is not important when addressing the capabilities of the individual platforms, it becomes very

important when identifying what units are supported by what vehicles. Figure 3 depicts the categories as defined by the Joint U.S. Unmanned Systems Roadmap 2007–2032 where UAS are categorized by takeoff weight and strike capability by listing them as small, Tactical, Theater, or Combat. This differs from both the U.S. Marine Corps, depicted in Figure 4, and the U.S. Army categories depicted in Table 1. The difference in how UAS are categorized does introduce some possibilities for confusion. For instance, the Joint definition for a small UAS is one that weighs less than 55 pounds. While not a significant amount of weight, 55 pounds would not be considered man-portable for tactical operations. Tables 2 and 3 list the current UAS within DoD and the categories to which they belong. In order to understand why there are so many different vehicles, it would be beneficial to understand the missions that the systems are required to perform.

Small—Gross takeoff weight (GTOW) less than 55 pounds.

Tactical—GTOW between 55 and 1320 pounds.

Theater—GTOW greater than 1320 pounds.

Combat—An aircraft designed from inception as a strike platform with internal bomb bays or external weapons pylons, a high level of survivability, and GTOW greater than 1320 pounds. An example is the Navy Unmanned Combat Air System.

Figure 3. Joint UAS categories (From U.S. unmanned systems roadmap 2007–2032, 2010)

The USMC categorizes UAS by the level of command at which the UAS will be flown in support of and based on the capabilities of the system (Figure 4). This is divided into groups, or Tiers, based on operating altitude and range: Tier I UAS are flown at the Battalion level and below; Tier II UAS are flown in support of the Battalion, Regiment, Division, and Marine Expeditionary Unit (MEU) commanders. Tier III UAS are flown in support of Joint operations, specifically a Joint Task Force (JTF), or Marine Air Ground Task Force (MAGTF) commander.

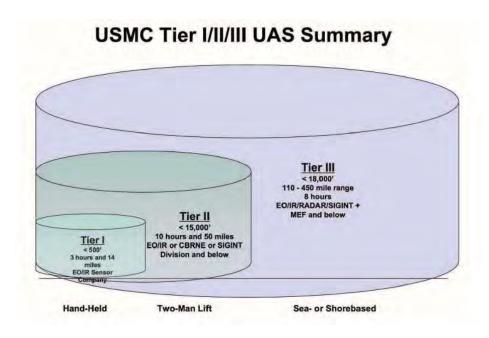


Figure 4. USMC Tier I/II/III UAS categories (From Isherwood & Garrison, 2008)

The U.S. Army categorizes UAS into five groups, based on takeoff weight, operating altitude and airspeed. The Army:

Currently employs UAS across all echelons as dedicated or organic support to tactical, operational, and strategic operations. The typical Army UAS echelons are:

Battalion-level and lower: close-range (less than 25 kilometers), short duration (one to two hours) missions that operate below the coordinating altitude and are thoroughly integrated with ground forces as an organic asset supporting tactical operations.

Brigade-level: medium-range (less than 125 kilometers), medium-duration (five to 10 hours) missions that integrate with ground forces and other aviation assets.

Division-level and higher: extended range (200 kilometers or more), long duration (16 hours or more), missions in direct support (DS), or general support (GS) and at the tactical or operational level. (UAS Roadmap, 2010)

Table 1. U.S. Army UAS grouping (From U.S. unmanned systems roadmap 2007–2032, 2010)

| UAS Category | Max Gross Takeoff Weight | Normal Operating Altitude (Ft) | Airspeed | Current Army UAS in Operation |
|-----------------|-----------------------------|---------------------------------|--------------|----------------------------------|
| Group 1 | < 20 pounds | < 1200 above ground level (AGL) | <100 Knots | RQ-11B Raven |
| Group 2 | 21-55 pounds | < 3500 AGL | <250 Knots | No current system |
| Group 3 | < 1320 pounds | <18,000 mean sea level (MSL) | | RQ-7B Shadow |
| Group 4 | > 1320 pounds | | Any Airspeed | MQ-5B, MQ-1C |
| Group 5 | | > 18,000 MSL | | No current system |

Table 2. USMC Tier I/USA group I (From MARCORSYSCOM, 2011)

| AIRCRAFT | SERVICE | CATEGORY | COLLECTION | WEIGHT | PAYLOAD | RANGE | ENDURANCE | DOWNLINK | REMARKS |
|------------------|------------------------|--|------------|---------|---------|-------|-----------|----------|--|
| WASP | USMC / USAF | Joint - Group 1 USMC - Tier I | EO or IR | .7 lbs | .25 lb | 3 nm | 45 min | L-Band | 135 fielded under UUNS Under consideration to become a POR USAF employ for Force Protection under BATMAV |
| RQ-11 RAVEN - B | USMC/USA Tier I POR | Joint - Group 1 USMC - Tier I Army - Glass I | EO or IR | 4 lbs | 1 lb | 5 nm | 1.5 hrs | L-Band | ARMY and USMC POR 238 systems in inventory out of 468 AAO Digital downlink upgrade in process |
| RQ-14 DRAGON EYE | USMC | Joint - Group 1 USMC -Tier I | EO or IR | 4.5 lbs | 1 lb | 5 nm | 1 hr | L-Band | Retired system replaced by Raven-B POR. 127 systems remain in USMC inventory |

Table 3. USMC Tier II & III, USA Group III & IV, and joint group 4 (From MARCORSYSCOM, 2011)

| RQ-21 Integrator STUAS | USMC/ USN Future Tier II POR | Joint - Group 3 USMC - Tier II | EO and IR possibly SIGINT | sub 150 lbs | 15-20 lbs | 40 nm minimum (LOS) | 10 hrs minimum | UNK | Approved CDD 32 systems expected buy SS complete, award to Insitu Current IOC 2013 |
|---------------------------|--|--|-------------------------------------|----------------|-------------------------|-------------------------------------|----------------------------|---|--|
| RQ-7 SHADOW MCTUAS | USMC/USA Current Tier III POR | Joint - Group 3 USMC - Tier III Army - Class III | EO and IR | 375 lbs | 45-60 lbs | 80 nm (LOS) | 5 hrs | C-Band Primary/UHF Secondary CDL planned | Interim solution with 6 systems. 13 systems by 3rd Q FY11 Does not meet USMC requirements for speed, endurance, range, or payload. Numerous airframe, GCS, and payload developments ongoing, to include EA, SIGINT, CDL, |
| FUTURE USMC TIER III | USMC / ??? Will likely be joint with another Service | Joint - Group 3/4 USMC - Tier III | EO and IR SAR SIGINT Others | ??? Lbs | 100-200+ lbs | 110 nm (LOS) More if relay | At least 4 hours | CDL | IOC - 2015 DC AVN condsidering rewriting ICD. Unclear if amphibious is a requirement if Tier II is amphibious. |
| MQ-5B HUNTER | USA | Joint - Group 4 USMC - N/A Army - Class IV | EO and IR SIGINT | 1950 lbs | 280 lbs | 144 nm (LOS) | 18 hr | C-Band | Sunsetted, but still maintained. (SIGINT applications) Limited employment of 50 lb GBU-44 Viper Strike Transition to Sky Warrior |
| IGNAT | USA | Joint - Group 4 USMC - N/A Army - Class IV | EO and IR | 2300 lbs | 450 lbs | 150 nm (LOS) | 30 hrs | C-Band Ku SATCOM | Sunsetted - maintained until replaced by Sky Warrior |
| RQ8B FIRE SCOUT | USN/USA | Joint - Group 4 USMC - N/A Army - Class IV | EO and IR or MASINT (Mines) | 3150 lbs | 600 lbs | 110 nm (LOS) | 6 hrs | TCDL UHF | NAVY: 1st deployment scheduled summer 199 aboard USS McInerney supporting maritime interdiction ARMY: 8 in inventory still undergoing early testing |
| MQ-1 PREDATOR A | USAF | Joint - Group 4 USMC - N/A Army - Class IV | EO and IR SAR/GMTI | 2250 lbs | 450 lbs | 500 nm | 24 hr clean 14 hr armed | C-Band Ku SATCOM | 2x Hellfire capability USAF attempting to divest IOT field full fleet of MQ-9 Reapers. (MQ-9 is not currently considered an ISR asset) |
| MQ-1C SKY WARRIOR (ERMP) | USA | Joint - Group 4 USMC - N/A Army - Class IV | EO and IR SIGINT SAR/GMTI '13 | 3200 lbs | 800 lbs+500 External | 160 nm 650 nm w relay | 30 hrs clean | Ku-Band CDL Ku SATCOM | Up to 4 Hellfire Tactical comm relay Diesel engine IOC '09 |

B. UAS MISSIONS

The growing U.S. fleet of unmanned systems can be measured by flight hours and mission sets. Figure 5 graphically depicts the rapid increase in UAS flight hours within DoD. There was significant growth within all services; however, the U.S. Army attained the largest increase in hours. Of note, this figure does not include those hours flown by Small UAS, which were being heavily fielded by 2006. "The DOD indicates that the 3,400 small and 500 tactical and theater level UAVs accumulated over 160,000 flying hours in 2006 in Iraq and Afghanistan. This is up from 60,000 hours in 2004" (Hearing on Budget Request on Unmanned Aerial Vehicles [UAV] and Intelligence, Surveillance, and Reconnaissance [ISR] Capabilities, 2007).

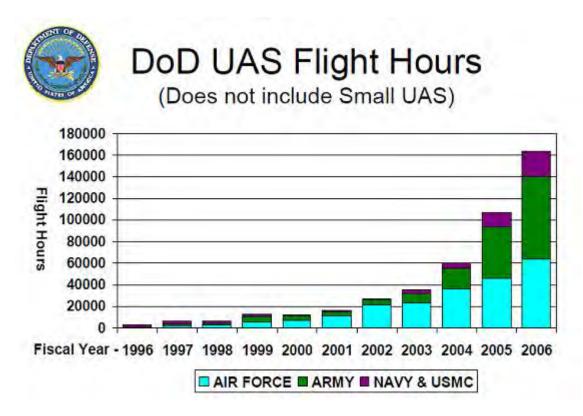


Figure 5. DoD U.S. flight hours (From hearing on budget request on unmanned aerial vehicles, 2007)

The significant climb in UAS flight hours can be attributed to an increase in demand for UAS across all branches of the U.S. military. "By 2007, the air force's

drones were logging more than 250,000 flight hours a year. The next year air force drones would log another 400,000 hours. The entire fleet of more than 700 Army drones in Iraq logged 300,000 flight hours in 2007" (Singer, 2009, p. 226). What was once a strategic level capability is now also organic to company sized elements and these tactical level commanders are depending on small UAS as their eyes and ears while fighting in extremely unforgiving terrain. The U.S. Army alone reached 1,000,000 total UAS flight hours in May 2010, a significant achievement for a branch that serves as the nation's ground force:

It took 13 years to fly the first 100,000 hours and less than a year to fly the next 100,000. In the past two years alone the Army has flown more than 500,000 hours. As of April 14, the Army had flown 1,002,731 unmanned aerial system hours, nearly 90 percent of that time in Iraq and Afghanistan. (Carden, 2010)

This rapid accumulation of flight hours is not only the result of the rising demand for UAS at all levels of command but, more importantly, the extensive utilization of the these systems over increasingly diverse mission types.

The U.S. Unmanned System Roadmap has identified 18 specific UAS mission areas. Table 4 depicts each mission area listed from the highest priority (1) to lowest priority (18). Reconnaissance and Precision Target Location and Designation remain the top priorities at the Joint level. For the U.S. Army and U.S. Marine Corps, ISR also takes the top priorities in UAS mission areas. This comes as little surprise as UAS have always been used in support of these missions. However, the lower priority missions are on the extreme end of the UAS employment spectrum. These low-priority irregular mission types are examples of how the DoD is integrating UAS into a variety of missions far from the roots of reconnaissance. They also exemplify how the DoD is striving to gain more from these systems than just ISR; this thesis focuses on how man-portable UAS could be used for multiple missions.

Table 4. COCOM and Military Department UAS needs prioritized by aircraft class (From U.S. unmanned systems roadmap 2007–2032, 2007)

| Mission Area | Small | Tactical | Theater | Combat |
|---|-------|----------|---------|--------|
| Reconnaissance | 1 | 1 | 1 | 1 |
| Precision Target Location and Designation | 2 | 2 | 2 | 2 |
| Signals Intelligence | 7 | 3 | 3 | 4 |
| Battle Management | 3 | 4 | 5 | 6 |
| Communications/Data Relay | 8 | 6 | 4 | 7 |
| CBRNE Reconnaissance | 5 | 5 | 9 | 8 |
| Combat Search and Rescue | 4 | 7 | 8 | 9 |
| Weaponization/Strike | 16 | 8 | 7 | 3 |
| Electronic Warfare | 12 | 11 | 6 | 5 |
| Mine Detection/Countermeasures | 6 | 9 | 12 | 11 |
| Counter CCD | 10 | 10 | 11 | 12 |
| Information Warfare | 13 | 12 | 13 | 10 |
| Digital Mapping | 15 | 14 | 10 | 14 |
| Covert Sensor Insertion | 11 | 15 | 15 | 13 |
| Decoy/Pathfinder | 9 | 13 | 18 | 16 |
| SOF Team Resupply | 14 | 16 | 14 | 15 |
| GPS Pseudolite | 18 | 17 | 17 | 17 |
| Littoral Undersea Warfare | 17 | 18 | 16 | 18 |

C. MAN-PORTABLE UAS

The overwhelming majority of overall UAS within the DoD inventory are manportable (Lamb, 2006). Man-portable UAS are extremely attractive because of their small, lightweight design, which permits the common soldier to carry the entire system (UAS, payloads, batteries, and ground control station [GCS]) inside of a single backpack. Secondly, the systems are launched by hand, relieving the unit from cumbersome launch equipment and associated supplies. This portability is crucial to mounted or dismounted ground units because they already carry an enormous amount of weight per soldier. The UAS can also be completely assembled and launched within minutes with very little training.

Once airborne, the systems are designed to utilize an autopilot that navigates via GPS waypoints. This is crucial because it helps to prevent operator oversaturation and frees him or her up to analyze the real time video provided by the various EO/IR

payloads available with the system. Once the operator desires to terminate the flight, the UAS can be directed to a terminal waypoint where it will enter an auto-land mode so that is can be collected and quickly disassembled.

These UAS are primarily used for close range ISR missions within a few kilometers of the operating unit. They provide battalion level and below commanders with a capability to see beyond line of site along intended routes of travel, at adjacent terrain, or to get eyes on potential danger areas such as rooftops or higher surrounding terrain. All tasks of the pre-UAS era that would have required the added time and risk associated with employing a slowly moving dismounted element with a radio, to gain intelligence that is relatively old when compared to the dynamics and tempo of current operations at the tactical level. The EO/IR cameras capture real-time video with enough resolution for the commander to determine the overall situation to include enough detail to see a person holding a weapon. The advantage of real-time intelligence against an enemy who does not enjoy the same, creates an information advantage for that commander.

1. FIXED WING SUAS

There are currently three primary SUAS being used in the operating forces: the RQ-11 Raven B, the Puma AE, and the Wasp, all manufactured by AeroVironment, Inc. (AV). All three UAS can be operated from the same GCS (Figure 6), which means fewer complications in the supply chain, compatibility within units who have more than one type of UAS, and operator familiarity.



Figure 6. Raven, Puma, and Wasp shared GCS (From Hoff, 2009)

a. RQ-11B Raven

When it comes to man-portable UAS, the RQ-11 Raven has been a venerable workhorse at 500 ft and below. Weighing in at only 4.2 pounds (see Table 2), the current Raven B is highly portable and quickly deployable. Its durable carbon fiber construction has been proven to endure the rigors of combat. The standard system comes with three airframes, three nose-cone payloads, two ground control stations, and all associated batteries and spare parts. The batteries power a single electric engine for up to 90 minutes of flight. These batteries also provide power for a color high-resolution down-looking, or side-looking camera or infrared camera, for day and night operations. Once the Raven is hand launched (Figure 7), it can be flown autonomously or manually out to ranges of 10 km and up to altitudes of 500 ft AGL. Once a target has been located, the Raven can orbit overhead with very little engine noise and keep the target within view.

The Raven was designed and produced by AeroVironment (AV) Inc., and when it comes to man-portable UAS, AV has dominated the competition. The company's success has not been a trivial accomplishment or one that took place

overnight. Since the mid-1980s, AV has been developing man-portable UAS for use at the tactical level starting with the FQM-151 Pointer. "Originally developed for the U.S. Marine Corps in 1986, Pointer is a man-portable system that provides the capability for troops to see over the next hill with a hand-launched UAV" (AeroVironment, 2011d).

Further development in man-portable UAS resulted in a contract between AeroVironment and the USMC for the RQ-14 Dragon Eye/Swift:

The UAV was operationally used for the first time during OIF in 2003 for reconnaissance and battle damage assessment. In November 2003, the Dragon Eye production prime contract was awarded to AeroVironment, and the USMC's procurement plans called for 467 Dragon Eye systems with 3 UAVs each. In early 2007, the official designation RQ-14A was finally allocated to the Dragon Eye. (Parsh, 2007a)

The Dragon Eye was later replaced by AeroVironment's Raven line of man-portable UAS.

The Raven A was quickly replaced by the Raven B, which now serves as the primary man-portable fixed wing UAS within DoD. The Raven B is the product of years of research and development and evaluation in support of combat operations in both OIF and OEF. The Raven B is the most advanced SUAS within DoD and is used by the USA, USMC, AF, and U.S. Special Operations Command (SOCOM). Additionally, AV has delivered the Raven to numerous coalition partners resulting in the delivery of over 9,000 airframes to both military and civilian organizations worldwide.

Dependability and ease of use are key features of the Raven. Operators must complete a "10-day, 80-hour course of academic and operational instruction before they're qualified on the RQ-11B" (O'Connor, 2007). Many operators get qualified to fly the Raven as a collateral duty, meaning they have another Primary Military Occupational Skill (PMOS). The Army manual for Unmanned Aircraft System Operations states the job description for a Raven B operator as "the Raven UAS Vehicle Operator (VO) (MOS NONDESCRIPT) must be tactically, as well as technically proficient" (USA UAS Operations, 2006). The UAS operator is responsible for mission programming into the GCU, remote operating of the UAS, and handling recovery of the UAS. This system

provides a significant savings in time and costs to obtain valuable intelligence from the air when compared to the costs of training human aviators over a two-year period. "The Raven with its toy-like appearance may be fun to operate but training to minimize the loss of Soldiers and damage to equipment is serious business" (Richardson, 2011). The Raven's lightweight fuselage is constructed of Kevlar, which can withstand nose dive crashes into pavement, allowing the UAS to enter a deep stall and crash as its primary way to land.

Finally, the Raven's greatest capability is simply that it works. Many systems introduced into the military result in added time and work for operators, unacceptable life cycles, or high repair rates, which result in the operators bringing the gear, but never using it. Ravens are being used heavily and are being incorporated into doctrine while the demand for them continues to grow. "This shift in demand is driven by platoons that are responsible for covering large swaths of land with few soldiers" (Defense Daily, 2010).



Figure 7. RQ-11 Raven B with GCS and handheld video monitor (From Defense Industry Daily, 2011)

Table 5. RQ-11 B Raven specifications (From Aerovironment, Inc., 2010a)

| Design Feature | Specification |
|------------------------|---|
| Standard Payloads | Dual Forward and Side-Look EO Camera Nose, Electronic |
| | Pan-tilt-zoom with Stabilization, Forward and Side-Look |
| | IR Camera Nose (6.5 oz payloads). |
| Range | 10 km |
| Endurance | 60–90 minutes |
| Speed | 32–81 km/h, 17–44 kt |
| Operating Altitude | 100–500 ft (30–152 m) AGL 14,000 ft MSL max launch |
| | altitude |
| Wing Span | 4.5 ft (1.4 m) |
| Length | 3.0 ft (0.9 m) |
| Weight | 4.2 lbs (1.9 kg) |
| Ground Control Station | Lightweight, Modular Components, Waterproof Soft case, |
| | Optional FalconView Moving Map and Mission Planning |
| | Laptop |
| Launch and Recovery | Hand-Launched, Deep Stall Landing |

b. PUMA AE

The Puma All Environment (AE) is an All Environment Capability Solution (AECS) to the fixed wing man-portable UAS family. Originally contracted by U.S. SOCOM in 2008, the Puma is currently operated only by special operations units. The Puma is larger when compared to the Raven, but can still be hand launched. Its ability to fly in adverse weather conditions make it favorable for a broader array of ISR missions. The waterproof components allow the operator to deep stall the aircraft into water and recover it using a locating beacon (Figure 8). This makes it suitable for maritime operations. The Puma's increased size allows for added room for more sophisticated cameras and larger batteries for flights of up to 120 minutes.

A key feature of the Puma is the gimbaled camera (see Table 6), which is stabilized and can be locked onto a target for continuous coverage regardless of the Puma's orientation. This is a significant advantage over fixed position cameras like those found on Raven and Wasp. Fixed position cameras require the operator to maneuver the

entire aircraft in order to aim the camera at the desired location, which can require valuable time in an already time limited operation.



Figure 8. Puma AE launch from small craft (From Hoff, 2009)

Table 6. Puma AE specifications (From AeroVironment Inc., 2010b)

| Design Feature | Specification |
|------------------------|--|
| Standard Payloads | Gimbaled payload, 360 degree continuous pan, +10 to -90 |
| | degrees Tilt, stabilized EO, IR camera, and IR Illuminator |
| | all in one modular payload. |
| Range | 15 km |
| Endurance | 120 minutes |
| Speed | 37–83 km/h, 20-45 kt |
| Operating Altitude | 500 ft (152 m) AGL |
| Wing Span | 9.2 ft (2.8 m) |
| Length | 4.6 ft (1.4 m) |
| Weight | 13 lbs (5.9 kg) |
| Ground Control Station | Common GCS with Raven and Wasp |
| Launch and Recovery | Hand-Launched, Deep Stall Landing |
| Production Status | In production |

c. WASP III

The Wasp III (block III) is the smallest UAS currently fielded within DoD and it is classified as a Micro Air Vehicle (MAV). It has a wingspan of only 29 inches and weighs only 430 grams (see Table 7). Like the Raven, it carries a forward-looking and side-looking fixed-focus color EO camera for daytime operations and an IR camera for night operations. It has less range than the Raven at 5 km LOS but operates at similar altitudes up to 500 ft. The UAS is hand launched and once launched, can be flown manually or autonomously via GPS navigation. It also has a microprocessor, which serves to stabilize the aircraft during flight in order to assist in capturing a stable video feed for the operator. When compared to Raven or Puma, the Wasp offers more portability at the cost of a sacrifice in endurance and range.

The Wasp is relatively new compared to the Raven. The U.S. Marine Corps awarded AV a contract for production of the Wasp in 2007:

January 9, 2008 The United States Air Force Battlefield Air Targeting Micro Air Vehicle (BATMAV) program with AV's Wasp III Micro Air Vehicle (Figure 9) has received approval for Full Rate Production. The Wasp is a small, portable, reliable, and rugged unmanned aerial platform designed for front-line day/night reconnaissance and surveillance. (McKeegan, 2008)

AV also makes an all-weather version of the Wasp, termed the Aqua Wasp for jungle, riverine, or maritime operations where the aircraft can land directly in water to be recovered. The operator can use the GCS from small boats with no need for modifications to the watercraft.



Figure 9. Wasp III micro air vehicle (From U.S. AFSOC, 2011)

Table 7. WASP III specifications (From AeroVironment Inc., 2011c)

| Design Feature | Specification |
|------------------------|---|
| Standard Payloads | Integrated Forward- and Side- Look EO Cameras, |
| | Swappable Payloads, High-Resolution EO Camera with |
| | Electronic Pan/Tilt/Zoom, IR Imager |
| Range | 5 km |
| Endurance | 45 minutes |
| Speed | 40–65 km/h |
| Operating Altitude | 500 ft (15–300 m) AGL |
| Wing Span | 2.375 ft (72 cm) |
| Length | 1.25 ft (38 cm) |
| Weight | 0.95 lb/430 g (Land) |
| Ground Control Station | Common GCS with Raven and Wasp |
| Launch and Recovery | Hand-Launched, horizontal land |
| Production Status | Full rate production is scheduled for the next 5 years with |
| | continuous system improvements planned |

2. VERTICAL TAKEOFF-LANDING SUAS

Currently, the only operational Vertical Takeoff-Landing (VTOL) SUAS is the YRQ-16A Tarantula Hawk. VTOL SUAS have not received the same support because benefits have not yet proven to outweigh those gained in the fixed wing versions. The unique capability VTOL SUAS bring is their ability to hover and stare at low altitudes. Additionally, VTOL capable vehicles have the potential to land autonomously and later take off autonomously (Parsh, 2007b). This is not a capability shared by fixed wing

UAS, which require human assistance to take off after landing. Aerodynamically, VTOL flight is extremely resource taxing and power intensive, which reduces the overall endurance of the vehicle and total time the operator has over a target. However, recently some missions have been identified that make VTOL SUAS like the T-Hawk the right tool for the job.

a. YRQ-16A

The YRQ-16 Tarantula Hawk uses a gasoline powered engine to produce thrust, which is then ducted through an intricate system of blades to maneuver the UAS. Much like the Raven, it uses an autopilot system that allows operators to simply point and click waypoints into software and the vehicle will takeoff, fly the route, and land autonomously. The USN was responsible for the RQ-16 initial production when in 2008 it purchased 372 for future use in support of combat operations. The T-Hawk is currently being used by Explosive Ordnance Disposal Teams (EOD) in Afghanistan to search congested urban areas for IEDs:

Unlike some other models of UAVs, the T-Hawk can take off and land vertically, which makes it useful in areas with obstructions like buildings or mountains where other UAVs cannot operate. The ability to land vertically also allows the operators to land the T-Hawk within 15 feet of their location, limiting their exposure while on patrol. (Mortimer, 2010)

As seen in Table 8, the T-Hawk's weight puts it at the upper limits of the man-portable category (Figure 11). The T-Hawk's gasoline engine produces much more noise than a battery operated SUAS. This makes it impractical for covert ISR at low altitudes as the ducted fan and engine noise would alert anyone in the vicinity of its presence, but the vehicle has been very useful in situations where the operators do not care if the enemy has knowledge of the robot's presence near the target. An example of this would be a roadside bomb which has been discovered and requires EOD to evaluate and disarm it. There are very few elements of surprise associated with a stopped U.S. convoy attempting to disarm an IED.

Situations that require very precise video feeds of much greater resolution and detail, free from turbulence or motion, are perfect for the T-Hawk. This type of

video is difficult to capture with a constantly moving fixed wing SUAS. Roadside bombs are usually much smaller than a man-sized target and therefore require the UAS to reduce the standoff distance in order to get a better look at the target. Additionally, if the target is located in a tight area such as an alley, it would only be visible within the FOV of a fixed wing UAS for a few seconds at most—not feasible for this mission. The recent Fukashima, Japan nuclear reactor disaster was initially unreachable by ground robots, and humans would have been exposed to a lifetime of radiation exposure within 5 minutes if sent in to assess the damage. The T-Hawk was able to fly into the facility—often flying between damaged structures and hovering within a few feet of walls in order to obtain the extremely detailed real-time video (RTV) from various aspects needed by responders (see Figure 10).



Figure 10. Fukashima number 4 reactor damage obtained by the RQ-16 T-Hawk MAV (From Curry, 2011)

VTOL technology is continually improving especially in the field of battery powered vehicle such as quadrotors. As mission endurance increases in VTOL design, this type of vehicle will become more attractive for missions in urban environments. The future warfighter may not need something that "sees over the next hill" but instead something that sees over the next building, alley, or into the next window. Although none are operational at the moment, quadrotor potential is explored in this thesis.

Table 8. YRQ-16A T-Hawk specifications (From Flightglobal, 2011)

| Design Feature | Specification |
|------------------------|--|
| Standard Payloads | Gimbaled, stabilized EO, IR camera, 1.5 lb payload |
| Range | close range |
| Endurance | 50 minutes |
| Speed | 40 kt |
| Operating Altitude | 50–1000 ft (15–300 m) AGL |
| Wing Span | N/A |
| Width | 1.08 ft |
| Weight (takeoff) | 17 lb |
| Ground Control Station | Independent system |
| Launch and Recovery | Vertical takeoff and landing |
| Production Status | limited |



Figure 11. Honeywell RQ-16 T-Hawk diagram (From Frank, 2009)

D. INCREASING DEMAND FOR MAN-PORTABLE UAS

The efforts devoted to train and equip U.S. and coalition forces at the tactical level with man-portable UAS has been a key factor in the successful integration of UAS in support of combat operations, has been a combat multiplier, and has been proven to save lives. More than 9,000 SUAS have been delivered to operational units and commanders can not get enough of them:

The Army provides every brigade combat team with 15 Raven systems, each of which includes three of the hand-launched, remote-controlled aircraft. There are nine BCTs, mostly in southern Afghanistan, that want to increase their number of systems to 35 each. (Beidel, 2011)

Not too long ago, the only way to perform battle damage assessment (BDA) or reconnaissance, surveillance, and target acquisition (RSTA) from the air was to have a fully trained Aerial Observer or to use national assets such as satellites. Human observers had to be flown aboard a manned light aircraft such as an OV-10 Bronco and the only way to conduct ISR was to either divert priceless satellite time or task manned aircraft. All of which require thousands of hours of training and millions of dollars in aircraft and equipment. The commanders at the tactical level had to request support and were then prioritized depending on time and availability of ISR assets and in most situations would have been lucky to be dedicated a sliver of the requested support. This is still the case for those who are not lucky enough to have their own man-portable ISR capability organic to the unit.

1. Increased Situational Awareness and Increased Standoff

Situational awareness (SA) can be defined as: "the perception of elements in the environment within a volume of time and space, the comprehension of their meaning, and the projection of their status in the near future" (Endsley, 1995, p. 37). Perception is achieved through all available senses, or in the case of UAS, all available sensors. Given that the SUAS available to the tactical commander is properly employed, the commander's SA has been extended out to the range of the UAS which extends the volume of observed space in the same amount of time. The elements gained from the UAS video feed would not be available to the commander without the UAS. This dramatic increase in SA can be used to speed up a commander's ability to observe, orient, decide, and act (OODA) on the updated information.

2. Increased Precision Targeting and Precision Lethality

Collateral damage at the tactical level can easily result in adverse strategic effects. Mistakes on the part of U.S. troops that result in the death or injury of innocent civilians

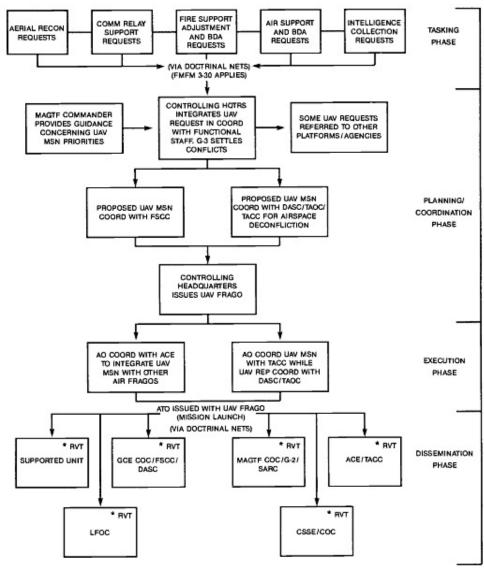
can be used by the enemy to counter U.S. efforts. A safeguard used to reduce the risk of this type of mistake is the requirement for positive identification. Positive identification of the enemy has to be gained in order to attack the enemy with proportional force. Current UAS are capable of flying sophisticated payloads, which offer the tactical commander a real-time video feed with enough detail to assess damage, determine positive identification, and increase his or her overall situational awareness. They also carry onboard laser designators that provide Global Positioning System (GPS) coordinates needed to precisely target the enemy with the least amount of collateral damage.

3. Decreased Response Time

Most importantly, man-portable UAS have been extremely effective at reducing the "kill chain." The term "kill chain" is defined as: "The sequence of events that must succeed to destroy a target. The most common usage of the term includes Find, Fix, Track, Target, Engage, and Assess (F2T2EA)" (Bloy, 2009, p. 2). Man-portable UAS have provided a fast and effective means of gaining the much needed positive ID in order to receive permission to attack and eliminate the target at the tactical level, essentially compressing the kill chain. Referring back to Figure 1 located in the introduction of this thesis, the relationship between the level of command and the risk over time associated with the commander's ability to dynamically employ a dedicated UAS capability. Units at the lowest levels of command require UAS support in the least amount of time. Rough terrain increases this risk exponentially.

Higher levels of command are at much lower levels of risk. At these levels UAS are employed through pre-planned missions and require a greater amount of time to react. Airspace has to be allocated, frequencies have to be de-conflicted, and tasking has to be prioritized. In Figure 12, we can see the UAS pre-mission flow at the U.S. Marine Corps Wing level. This would not be feasible for a platoon commander operating within meters of the enemy. SUAS airspace deconfliction is simplified because they are operated below 500 ft. AGL. Except for multiple SUAS operating within close proximity of one another, the systems reduce problems associated with frequency spectrum management

because the communication links between the aircraft and the GCS are low power. Therefore, by having his own integrated SUAS capability, the small-unit commander can conduct ISR missions at his discretion.



^{*} POSSIBLE LOCATION OF REMOTE VIDEO TERMINALS BASED ON MAGTE/SUPPORTED UNIT PRIORITIES.

Figure 12. USMC UAS pre-mission flow (From FMFM 3-22-1, 1993)

III. THE SNIPER PROBLEM

A. ENEMY SNIPER PROBLEM IN OIF/OEF

The U.S. invasion of Iraq in the spring of 2003 was swift and efficient. Saddam Hussein's forces either surrendered or were neutralized and he was forced into hiding. However, immediately following the completion of the major kinetic operations, a combination of crumbling socio-economic conditions, disbanded Iraqi military and former Ba'th party members all began to fuel organized hierarchical and decentralized leadership for the various insurgent groups. In May 2003, the formation of a massive insurgency began to challenge U.S. and Coalitions forces. There were two types of fighters within the insurgent organizations, based on available manpower and skill levels, combatant cells and specialized cells (Keegan, 2005).

Combatant components formed numerous cells, all of which consisted of anyone who committed acts of violence against the U.S. and Coalition forces. Enemy snipers could be found within any combatant cells, but the trained snipers were usually part of the specialized cells that supported the combatant cell operations. "Specialized cells (technical and bomb-making, logistics, suicide bomber support of facilitator, reconnaissance and operational security) exist to support the combatant cells" (Hashin, 2006, p. 160) The IED and sniper threats of early OIF to U.S. forces quickly became the catalyst for an episode that has resulted in the most advanced force protection systems in the history of warfare. Wireless jammers were mass produced to protect U.S. convoys, ground breaking vehicle hulk designs, acoustic shot detection systems, body armor, and sensors beyond belief to someone just five years prior to inception—were all developed, tested, and fielded in a matter of months. Still, the IED reigned over all other enemy threats and as U.S. technology grew more effective at countering it, the enemy shifted tactics and began to employ more sniper attacks. Today, the sniper problem persists in OEF operations.

In February 2010, a NATO effort to infiltrate the southern Taliban stronghold of Marjah, Afghanistan included participants from across the U.S. DoD spectrum, Coalition

forces, and Afghan forces. The ten-month battle was a key piece in the overall OEF strategy to regain control of Afghanistan. The Taliban resistance was fierce and this time the biggest threat to friendly forces was not the IED, it was the sniper:

Several of the engagements have escalated into fights that have filled days. And during the fighting, the Taliban has shown a side not often seen in nearly a decade of American military action in southern and eastern Afghanistan: the use of snipers, both working alone and integrated into guerrilla-style ambushes. (Chivers, 2010)

This battle, much like battles in Iraq, was painstakingly slow. The enemy knew U.S. ROE and would use it against them by using noncombatants as shields and by fighting within close proximity of family homes—forcing the U.S. forces to limit their use of heavy machine guns or close air support in order to limit civilian casualties:

Comparing this with Iraq, I'm surprised with the accuracy. Capable and proficient ... A team, two people, could conceivable [sic] suppress a size of three companies. Someone sticks their head up and you get a round which just misses or hits you will paralyze a unit, there's probably nothing more lethal other than unmanned aerial stuff. (Golnar, 2010)

Optimal areas of attack for enemy snipers are very similar to where U.S. snipers would be best employed. These areas include rooftops and windows in urban areas, observed U.S. routes of travel and likely avenues of approach, dead space, as covering fire for other forces, and anywhere else they can successfully engage while maintaining an escape route. The typical range of an enemy sniper is between 300 and 600 meters. Ranges in excess of 1000 meters are rare.

In the U.S. military lexicon there are three general categories of snipers: specially trained sniper, trained marksman, and armed irregular (HQ USA Combined arms operations in urban terrain, 2002). The specially trained sniper is the most dangerous and most difficult to counter. They have in-depth training and are highly effective at hitting targets at ranges out to 1,000 meters. They are usually regulars who wear a uniform and have knowledge of their units overall concept of operations. The trained marksman can be found in greater numbers. They are also specially trained and employ a rifle and scope that enable him or her to reach ranges beyond normal small arms. They are not as

skilled as the specially trained sniper, but use urban environments to increase their success through the numerous attack positions and exit routes. The Marines currently facing sniper attacks in Afghanistan are reluctant to use the term "sniper" to refer to Taliban gunmen, preferring to call them "trained marksmen" because, while their skills have markedly improved since last year, they are not as skilled at covering their tracks (Golnar, 2010).

The armed irregular is much different than the other two because he has not received any formal training. He may use a standard weapon of opportunity or may have acquired a rifle and scope from another fallen irregular or on the black market. He will primarily attack during windows of opportunity, which will most likely not be in support of any overall CONOPS. The armed irregular will also not normally carry his weapon and will attempt to blend in with the other noncombatants. When compared to the other types of snipers, he is very inaccurate when firing but the effects gained through harassment and the occasional U.S. casualty outweigh his firing inaccuracies.

B. CURRENT SNIPER DETECTION SYSTEMS

Detecting the origin of any fired munitions is extremely challenging, but even more so for small arms and especially difficult for sniper fire where the enemy's number-one priority is to mask his location. Through past wars, the United States has recognized the advantages associated with having systems that can detect the origins of mortar, artillery, and small arms fire and has been hard at work long before the execution of OIF and OEF to equip the common soldier with this capability.

Anti-sniper systems attempt to exploit certain physical properties associated with sniper fire. These properties include sound, light, heat, and pressure differential. Some are passively collecting data after a shot has been fired, and some are actively emitting energy before a shot is fired in order to detect an impending attack.

As a sniper searches for a potential target, his scope will reflect light in very different ways than light is reflected from normal objects and this signature can be detected. When a small arms round is fired the propellant produces a muzzle flash and a sound intrinsically tied to certain weapons and this data can be detected. As the round

travels from the weapon, the velocity is much faster than the speed of sound (> 340.29 m/s at sea level), which causes both a shockwave and pressure differences that can be detected:

Snipers and gunmen usually use rifles, such as the AK-47 assault rifle and its variants, which fire bullets faster than the speed of sound. When the gun is fired, the bullet's supersonic passage creates a shockwave of air particles that are being pushed aside. This is different from the actual muzzle blast of the gun, which produces the sound that people hear as gunshots. (Hsu, 2010)

Additionally, the round heats up as it travels and this thermal difference between the path of travel and the air surrounding can be identified and detected. Finally, as the round passes the target, the sonic disruptions in the immediate vicinity of the intended target can be detected.

For a human riding in a vehicle or patrolling urban areas, it would be virtually impossible, without seeing the muzzle flash, to accurately calculate the origin of a gunshot over the diesel engine noise or constant echoing between buildings. This is also complicated for sniper detection systems, but the systems have proven much more successful at detecting the point of origin (POO) of a gunshot than humans. Urban areas complicate this data through noise, multipath loss, diminished LOS, and the environmental factors. Developing systems that can collect, process, and accurately produce useable range, bearing, and elevation information in the short amount of time required to react to a sniper has been extremely challenging for engineers. Some of the most successful systems will now be explored, in addition to how they could possibly be used in conjunction with SUAS in support of a counter-sniper role (Crane, 2006).

1. Boomerang Vehicle Mounted Gunshot Detection System

Boomerang is a vehicle-mounted shot detection system that was developed by both Raytheon BBN Technologies and the Defense Advanced Research Project Agency (DARPA). The system uses an array of seven acoustic sensors to sense the shockwave of the round as it passes the vehicle and then the sound of the follow on muzzle blast. The time difference of arrival (TDOA) of the bullet shockwave along the individual sensors in

the array and in addition to the known characteristics of shockwave velocities can all be fed into algorithms to reverse calculate the range, bearing, and elevation of the shot origin relative to the system. Remarkably, the system can even perform while the vehicle is moving up to speeds of 50 km/h, and filter out noise that could be easily mistaken for gunshots to a human such as a car vehicle exhaust system backfire or metal being dropped thereby reducing the false positives. In urban or mountainous terrain the added elevation variable becomes extremely vital information because snipers use the elevation differential to gain a range advantage on his target.

2. Individual Gunshot Detection System

The Individual Gunshot Detection (IGD) was developed by Qinetiq Corporation and is depicted in Figure 13. The system is shoulder mounted and weighs less than 1 lb. It uses acoustic sensors that detect the shockwave of the bullet to provide the individual Soldier or Marine with distance and bearing to the origin of the small-arms fire both visually through an LCD and audibly through a speaker in less than a 1/10 of a second:

The Army is sending more than 13,000 IGDs to Afghanistan for strategic use among platoons, squads, and other units. The Marine Corps has also ordered the detector. The system is already in use in Afghanistan and Iraq, according to Qinetiq, which calls it the Shoulder-Worn Acoustic Targeting System (SWATS). It earned a mention in Guinness World Records last year as the first wearable sniper detector. (Hornyak, 2011)

Small shot detection systems like SWATS provide individual dismounted troops with the same benefits as the vehicle mounted systems. The data can also be networked and tied into other force protection systems or unmanned systems. Once the Soldier re-enters a vehicle, the system can be mounted in the vehicle for continuous mounted operations. If the sniper decides to retreat, the data can be analyzed for future intelligence. The system is degraded in noisy close-quarter urban environments, but otherwise has been so successful that the U.S. has signed a contract with Qinetiq for more than 13,000 units at approximately \$5,000 USD each with an option for 30,000 total units.



Figure 13. Individual gunshot detection system (From Hornyak, 2011)

3. Gunslinger Package for Advanced Convoy Security (GunPACS)

The Medium Tactical Vehicle Replacement (MTVR) has been used extensively in OIF and OEF for convoy operations. The vehicles are armored and provide much more protection from sniper fire when compared to being dismounted. GunPACS was the result of an Office of Naval Research (ONR) initiative to enhance the situational awareness and security of convoys by providing more overwatch capability. GunPACS uses data collected from the Boomerang shot detection system and feeds this data to the CROWS II 50 caliber weapon system, which also has an EO camera to allow the operator to get a visual confirmation of the target (Figure 14). "It will provide vehicles with the ability to identify small arms fire, rapidly prosecute targets from under armor, and share gun sight and situational awareness video" (Office of Naval Research, 2011). The entire system can be operated from the safety of the MTVR.



Figure 14. GunPACS weapon over watch system for use on the MTVR (From Clark, 2010)

C. CURRENT TACTICAL AND THEATER LEVEL UAS COUNTER-SNIPER TECHNOLOGY

The UAS tradeoff for less risk to U.S. personnel is at constant odds with the ethical dilemma of killing from afar and the constant risk of unintended deaths of innocent civilians. These are concerns that rest heavy on decision makers and they continue to be a major reason for limiting the level of autonomy within these systems. "Like chemical weapons, they could be banned in general, for no other reason than the world doesn't want them around. Yet for now, our laws are simply silent on whether autonomous robots can be armed with lethal weapons" (Singer, 2011). In the meantime, the U.S. and allied nations have accepted weaponized UAS linked to human operators as a viable tool for defeating the adversary.

Combat operations during OIF and OEF have also been responsible for the development of the most sophisticated UAS in the history of warfare. Fighting in close proximity to noncombatants and the high costs of collateral damage has forced precision into weapons delivery. Additionally, the high cost of manned aircraft has forced weapons delivery onto UAS:

In this war [OEF], we saw for the first time an UAV (Predator) is employed as the sensor and the shooter, defying the traditional problem of shortening the sensor-to-shooter cycle. If developed further, this will be a solution to deny the enemy use of super-surface dimension where sniper attacks often take place. (Wah, n.d., pg. 15)

UAS weaponization in support of combat operations, including counter-IED and countersniper, is pushing the laws of physics through miniaturization and ultimately how humans conduct warfare as a whole. September 1, 2007 marked the first time in history in which the U.S. Army successfully killed two enemy personnel planting a roadside bomb in Iraq with a weaponized UAS. "A scout weapons team from 2nd Battalion, 25th Aviation Regiment, 25th Combat Aviation Brigade, saw the men in a tactical overwatch near a roadside. The team requested Hunter UAS support. The pilots guided the Hunter operator to the scene, where it set up for a strike mission and dropped its precision Viper Strike munitions, killing both men" (US DoD, 2007). The Hunter was, and continues to be, the smallest operational weaponized UAS weighing in at 1,950 lbs.

Currently, U.S. Navy researchers at the Naval Air Warfare Weapons Center are attempting to weaponize the Shadow UAS, which only weighs 375 lbs. If successful, the Shadow will become the smallest operational weaponized UAS in the DoD inventory; however, the Hunter's size and weight restrict it to launches from improved runways so it remains to be a Division level asset in very high demand and not available for immediate support at the tactical level. AeroVironment is also researching the Switchblade weaponized expendable SUAS, or Light Miniature Aerial Munitions System (LMAMS), which will be discussed in the following section.

1. Mini Weapons

One-hundred-pound bombs glide into their targets, sometimes incurring unacceptable deviations with respect to accuracy. By adding a guidance system onto the weapon, this accuracy can be significantly increased. Additionally, by reducing the size of the warhead, the resulting blast radius would be smaller allowing for less collateral damage. Smaller precision guided munitions can then be carried by smaller airframes such as UAS. The Spike missile is an example of miniature precision guided missiles. It uses a small EO seeker that guides the missile to the target, weighs approximately 5 lbs,

is 25 inches long, and costs less than \$5,000 USD per missile, making it the world's smallest and cheapest missile (Figure 15). The Spike missile is designed to be smaller, lighter and cheaper than the missiles now widely used by the U.S. services. Originally designed for ground combat operations, these missiles are byproducts of irregular warfare—precise so they hit their intended target, low-yield to limit collateral damage (Mathews, 2010).

The Naval Air Warfare Center Weapons Division (NAWCWD) China Lake is developing the Spike missile to be a low-cost modular solution to weaponizing tactical UAS. "The goal was to take an existing ISR platform and 'bolt-on' a WMS GEN2, launcher, and weapon to create an armed recon vehicle" (Naval Air Warfare Center Weapons Division, 2010). By keeping the launch system simple, the weapon can be easily integrated onto an existing platform capable of carrying the payload weight such as the Pioneer.



Figure 15. Spike missile and fire control system (From NAWCWD, 2010)

2. Lethal Miniature Aerial Munitions Systems (LMAM)

The need for a lethal man-portable MAV capability was taken one step further with a 2010 DARPA sponsored project to combine SUAS with a small warhead. AeroVironment's Switchblade "suicide MAV" surfaced as the top contender in the project. The Switchblade combines all of the benefits of SUAS portability and operator interface with a lethal warhead. AeroVironment's battery powered LMAM is a back-

packable, tube-launched, expendable weapon (Figure 16). The vehicle has an EO guided system, and is fitted with a small warhead. The weapon is able to loiter quietly within short range of the target for a limited time, waiting for optimal conditions to attack, while maintaining constant communications with the operator, transferring live video of the target below (Defense Update, 2010). LMAMs such as Switchblade retain a "man in the loop" command and control, which allows operators the ability to abort the mission during the majority of the mission. This differs from traditional guided munitions that cannot be aborted once they are delivered from the air vehicle. While autonomous in flight, the LMAM is not autonomous in targeting phase of flight.



Figure 16. AeroVironment Switchblade LMAM (From Defense-Update, 2010)

With all systems there are tradeoffs and the LMAMs are no exception. Comparing the Switchblade's lethal effects with the 40mm grenade commonly used in hand-launched systems, such as the M203 grenade launcher, the Switchblade would produce a kill radius of approximately 5m, which would be a very effective capability against a small enemy IED emplacement team or a sniper. This warhead would require a payload weight of approximately 0.5lb to 1.0lb of explosive—undoubtedly taxing on the MAV's small battery powered endurance therefore restricting the ISR capability to

strictly locking on to a target as quickly as possible. The endurance of a MAV carrying this size payload would not allow much time to actively search for a target. The target would probably have to be under current observation. Additionally, once the MAV is flown into a target it is gone. This means that the operators would require a second UAS in order to maintain an organic ISR capability or to bring multiple LMAMs on the mission. If the LMAM is launched and the mission is subsequently aborted, the operators will be left with an unexploded ordinance scenario. Nevertheless, LMAM are the smallest lethal UAVs engineered to date. However, the tradeoffs discussed have been the driving factors for this research and possible systems that may meet these requirements while also preserving the best attributes of current ISR systems are explored.

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IV. WEAPONIZED MAN-PORTABLE UAS DESIGN REQUIREMENTS

A. INTRODUCTION

Prior to this chapter, literature reviews and resources found within DoD and throughout the internet were explored to explore current SUAS technology and the sniper problem. This identified limitations in current capabilities in countering the sniper problem and as a result inferred the systems engineering approach to identify design requirements of weaponizing SUAS in an attempt to fill this gap. This chapter begins with an overview of the systems engineering process and how it can specifically aid in the development of these design requirements. Next the basic design requirements are leveraged to develop conceptual design requirements and a proof of concept model validated through field experimentation. The next section of the chapter focuses on the results of the experiments and provided refinements in the design requirements. The chapter concludes with design requirement comparisons taking into consideration the advantages and disadvantages to the possible system components.

B. A SYSTEMS ENGINEERING APPROACH TO IDENTIFYING REQUIREMENTS

1. The Systems Engineering Process

The systems engineering process begins with an identified problem or requirement and continues through the design and fielding of a system that will ultimately solve the problem. Systems engineering methods can differ depending on the systems to be designed and whether they are applied to the civilian sector or to the DoD. However, this research utilized those methods outlined in the Defense Acquisition University shown in Figure 17 combined with those of Benjamin S. Blanchard shown in Figure 18. Additionally, certain technological trends throughout the systems engineering

field have complicated the overall process. These trends include constantly changing requirements, more emphasis on faster fielding through COTS components, a shift to emphasizing systems, and interfacing multiple systems to function together as a system of systems (SOS).

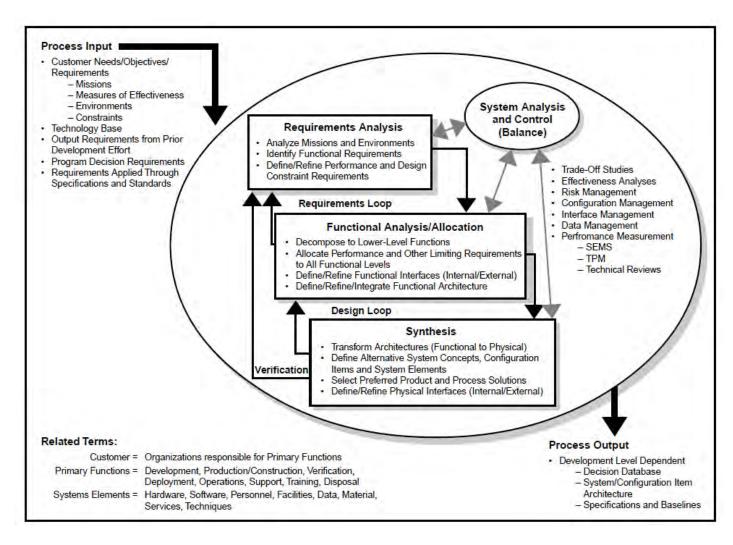


Figure 17. Systems engineering process (From Defense Acquisition University, 2001)

Since 2001, the DoD has shifted to purchasing more COTS systems to be quickly integrated into other systems. This shift has saved time and research money instead of designing a product from scratch. High demand items identified by Combatant Commanders through Urgent Needs Statement (UNS) receive top priority by the DoD acquisitions process, which has been successful throughout OIF and OEF due in part to the high availability of COTS systems. It also fosters competition within the technology sector of the SOS, which can result in the DoD getting the best product available at the time for the best price:

This new challenging demand has led to a new operational style: Instead of designing or subcontracting systems from scratch, business or government gets the best systems the industry develops and focuses on becoming the lead system integrator to provide a system of systems. SOS is a set of interdependent systems that are related or connected to provide a common mission. (Jamshidi, 2008, pp. 4–19)

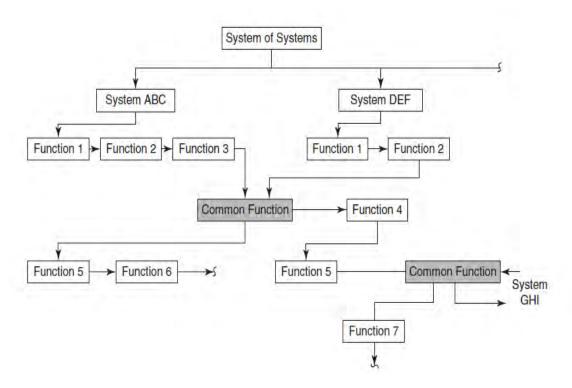


Figure 18. Functional configurations in system of systems (SOS) configuration (From Blanchard, 2008)

Systems engineers must also ensure when developing a SOS that the original requirements of all subsystems are preserved (Figure 19). For example, an existing UAS would have been designed to meet a set of requirements and an existing weapon system would have been designed to meet entirely different requirements. If these two systems were brought together to perform a common function, they should still individually meet all original requirements except where such requirements either conflict or are superseded.

Taking all methods into consideration, we are left with seven steps that are interconnected by feedback and corrective action loops:

- System Requirements Identification
- Determine Tasks
- Functional Analysis Based on the Tasks
- System Design (Conceptual, Preliminary, and Detailed)
- System Production and Modification
- System Implementation and Assessment
- Retirement

a. Systems Requirements Identification

The system requirements should be originated by the user and as the system advances, the user should be tightly integrated through constant feedback. As prototypes are developed, the users should also be involved in the evaluation of the system in order to help refine the original requirements. "As success is achieved in each design cycle, the scope of each successive design cycle is increased to get closer to the final product and to include a larger representation of the user group(s)" (Mawn & Tokumaru, 2006).

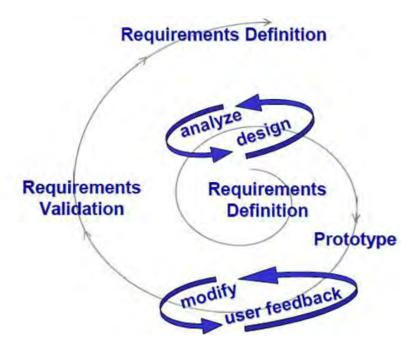


Figure 19. Development spiral (From Mawn & Tokumaru, 2006)

Identifying the operational requirements of a system early in the system life cycle can be vital to the success of the system. Operational requirements include mission profiles, environmental conditionals, effectiveness, performance, life expectancy, and requirements for the system to interface with other systems. In the case of the original Raven design process (Figure 20), this was simplified as "meets or exceeds the initial design objectives that could be boiled down to 'do what a Pointer UAV does at half the size, cost, and weight" (Mawn & Tokumaru, 2006).

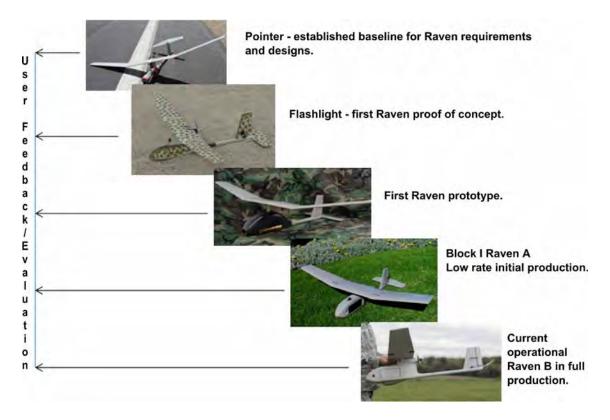


Figure 20. Raven B design products from the conceptual design phase through system operations use and life cycle support

b. Determining Tasks

Once the system requirements have been identified, the task associated with that requirement must be determined. Tasks are listed for each service component of the Armed Forces, which ensure the requirements are understood for each operation or mission to be executed. An example of a task list is the Universal Naval Task List (UNTL), which lists line-by-line each and every requirement for the USN and USMC:

Naval tasks support all levels of war, strategic, operational, and tactical, however the majority of naval missions and tasks are centered on the Operational and Tactical levels. Mission essential tasks (METs) designed

to specifically support a COCOM mission (or JMETL) will most likely be at the operational (OP) and tactical (NTA/MCT) levels. (Department of the Navy NUTL, 2007)

Tasks define discrete events and do not detail the specific unit or piece of equipment to be used in the task.

An example of a task that would drive the requirement for SUAS integration into tactical units is Marine Corps Task (MCT) 2.2.1.2—Conduct Area Reconnaissance, which states: "To conduct a form of reconnaissance that is a directed effort to obtain detailed information concerning the terrain or enemy activity within a prescribed area, such as a town, ridgeline, woods, or other feature critical to operations" (Department of the Navy NUTL, 2007, pg. 262). SUAS have been identified as force multipliers in the execution of ISR which partially fulfills this task and therefore the requirement for SUAS.

c. Functional Analysis

Functional analysis is the process of translating system requirements into detailed design criteria, along with the identification of specific resource requirements at the subsystem level and below. One starts with an abstraction of the customer need(s) and works down to identifying the requirements for hardware, software, people, facilities, data, and so on. The first step is to identify the functions that the system must perform, along with the supporting functions that are needed for this to happen. Functional analysis entails the construction a functional flow diagram at the system level. Then engineers must identify design functions, test functions, production functions, operational functions, maintenance functions, and retirement/disposal functions as necessary. Finally, each function must be evaluated in terms of input-output requirements, constraints, and the resources required in order to accomplish the function (Blanchard, 2008).

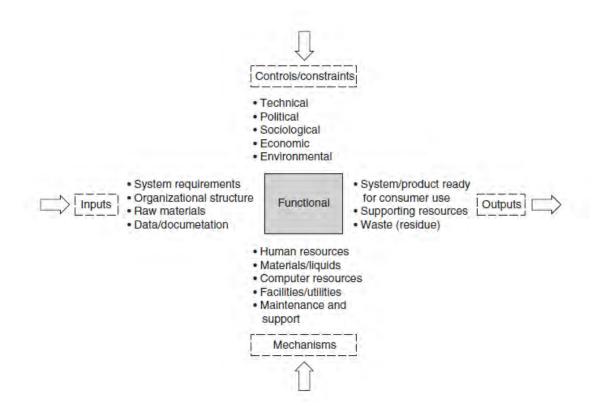


Figure 21. IDEF0 template to identify resource requirements (From Blanchard, 2008)

Functional analysis is first accomplished at the top-level as the customer need is defined during the early stages of conceptual design. This involves identifying and describing the functions that the system must accomplish. The IDEFO as depicted in Figure 21 is a general functional resource analysis tool commonly used in systems engineering to visually represent the inputs and outputs of a system. The analysis effort continues throughout conceptual design and preliminary design, to the depth required to provide the necessary visibility for the design of system elements and components. A good functional baseline is established in order to provide a foundation for all follow-on design activities. In this respect, accomplishing functional analysis is a critical step in the system engineering process and serves to prevent functional oversight through early identification of the various levels of functions and how they affect not only the system in question, but how they may affect interacting systems.

d. System Design

The design process of any system begins with a clearly identified set of requirements. Figure 22 depicts Blanchard's major steps in system design and development. It begins with the conceptual design phase where the designers will identify additional design metrics such as technical performance measures, operational requirements, and system specifications. As the system progresses into the preliminary system design phase, the engineers can continue to incorporate additional changes into the system without much cost. However, as the design progresses into the development phase each change will come at increasingly higher costs to the design process. Once in the production phase, changes can be made but extreme care must be taken to ensure the changes do not adversely affect the system's ability to meet the original requirements.

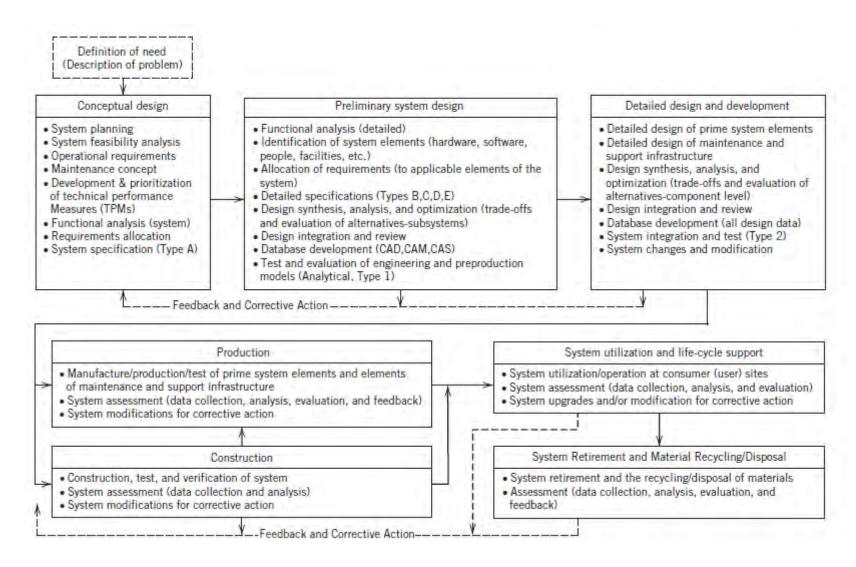


Figure 22. Major steps of system design and development (From Blanchard, 2008)

Continuously changing system requirements have haunted many programs throughout history. They have resulted in system overspending in addition to stalling the system design progress to the point of obsolescence or incompletion. Technology has also complicated this process. Some programs begin with a set of requirements only to see the requirements constantly change throughout the design and construction (Figure 23). Overly optimistic contracting has also complicated the process by under estimating costs. An example of this is the Expeditionary Fighting Vehicle (EFV) which is supposed to be the replacement for the aging Amphibious Assault Vehicle (AAV). In a recent speech, the Commandant of the Marine Corps, General Amos, agreed with the Secretary of Defense on the decision to cancel the EFV:

After nearly two decades, \$3 billion, numerous glitches and cost hikes, it still is in the test phase. Gen. Joseph Dunford, assistant Marine commandant, told the new GOP-controlled House Armed Services Committee the cost of each EFV had tripled, from \$5 million apiece in 1995 to \$17 million now. (Watson, 2011)

At \$17 million and growing, the EFV program cancelation is just a small example of how the system design can become chaotic and actually get mistaken for defining the customer's capabilities—the tail wagging the dog scenario. Since this research focused on system design requirements, this phase of the systems engineering process will be discussed in much detail throughout the chapter.

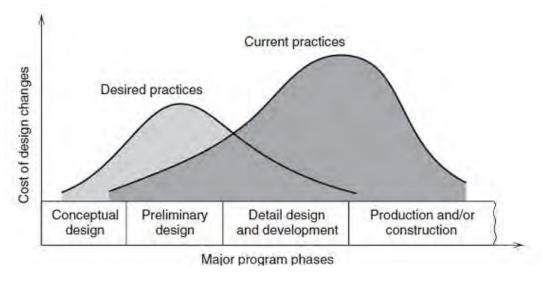


Figure 23. The cost impact due to changes (From Blanchard, 2008)

C. TNT/CBE 11-2 EXPERIMENTS MAC DILL AFB AUX FIELD (AVON PARK AIR FORCE RANGE) RQ-11B RAVEN

The Naval Postgraduate School in partnership with USSOCOM sponsored the Capability Based Experiments (CBE 11-2) from 21–26 February 2011. The event provides an environment for numerous DoD, NPS and civilian contractor organizations such as AeroVironment, WinTec, and Boeing to conduct experiments and to collaborate with other participants while getting constant feedback from active duty members of component special operations communities. This experiment was conducted at Mac Dill AFB Aux Field (Avon Park Air Force Range (APAFR) ICAO: KAGR (Figure 25) from February 22–24. Airfield Elevation 68 ft (21 m) during the hours of 1200–1700. Weather conditions were mostly sunny, maximum temperatures 82 degrees Fahrenheit, surface winds 3–10 knots.

This was the first exploratory experiment in identifying the required design characteristics for a man-portable weaponized unmanned aerial system (UAS) in support of counter-sniper operations. The focus of these efforts during CBE 11-2 was to mount a small nonlethal weaponized proof of concept payload onto the RQ-11 B Raven UAS. The Raven was chosen because of current availability within the operating forces (U.S. and Allies) and its continuing success in support of combat operations during OIF and OEF. Raven SOPs are mature and the operator duties have been clearly defined, which helps in identifying the effects of additional workload to the operators during the experiment. However, the vehicle's high portability also severely limits its payload capacity, which made it an extremely challenging platform to add additional capabilities to.

In order to safely conduct this type of experiment, an alternative to immediately testing lethal payloads was required. Although there were numerous possibilities, paintballs were chosen as a proof of concept because they meet safety requirements and provide easily identifiable impact marks. At a muzzle velocity of 330 ft/s, paintballs pose much less risk to bodily harm than a typical .22-caliber rifle at 3000 ft/s. Payload capacity and aerodynamic drag were the limiting factors in the payload design but of most concern was the weight. COTS multiple-shot paintball guns are extremely heavy,

usually weighing in over 1,500 grams without the air supply to propel the rounds. Normally, these guns rely on high pressure air (HPA) to operate but the smallest available HPA tank weighs 440 grams and this would not be feasible. A single-shot paintball gun was chosen in order to reduce the propellant requirement to 12 gram liquid CO₂ canisters, which weigh only 30 grams when full. Some time was spent modifying the gun to reduce unnecessary weight and to add in a trigger that could be remotely fired through a wireless signal. Additionally, the gun was originally configured to fire .68 caliber paintballs; however, this was replaced with a carbon fiber .50-caliber barrel to save weight and gain some accuracy with the smaller caliber paintballs. Figure 24 depicts the before and after weights of the gun. Figure 26 is a diagram of the payload which was placed over the Raven battery compartment. Specific questions during the experiment were:

- 1. The trigger mechanism controllability, functionality, operator workload, and timing with the rest of the communications system.
 - 2. The armed RQ-11B flight characteristics at various profiles.
 - 3. The armed RQ-11B loiter time at various profiles.
- 4. The bore-sighted camera functionality and usability with the rest of the communications systems.
 - 5. The time required to launch, shoot, and recover the RQ-11B.
- 6. When fired, how accurate was the nonlethal payload with respect to target location.

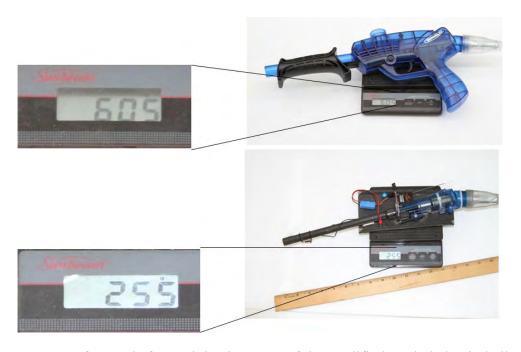


Figure 24. Before and after weights in grams of the modified nonlethal paintball gun used as UAS proof of concept payload in the experiments



Figure 25. RQ-11B experiment at Mac Dill Aux Field, Avon Park, FL

1. Quantitative/Qualitative Results

The first test was to check the trigger mechanism. Specifically we wanted to ensure the high power output of the Raven communications link would not jam the lower power frequency used by the remote controlled gun trigger. The triggering system was implemented using COTS Spektrum 2.4 GHz, 6-Channel receiver and a COTS Spektrum handheld RC Radio. The safety mechanism was designed so the operator would be required to hold down a switch to disable the safety, and press a second switch to fire. The trigger was tested successfully.

The second test was to check the flight characteristics of the Raven while carrying the small nonlethal payload. The payload was designed to mount over the Raven battery pack compartment on the port side, which also balanced the load with the aircraft cg (Figure 26). Maximum payload weight for the Raven is approximately 16 oz. and our payload weight (camera, gun, and trigger) was 240 grams (~8.5 oz.). This was a major concern for power but the payload also added drag and asymmetrically offset cg from the aircraft centerline. The first launch proved that the Raven could handle the weight of the payload at 68 ft. MSL launch and recovery elevation, and the added drag did not noticeably affect the flight performance.

Raven loiter time with the payload attached was not tested specifically due to experiment time constraints and because multiple experiments using the Raven were being conducted simultaneously. However, the battery was able to support five flights of launch-fire-recover cycles on a single charge, which would be effective in employing the UAS against a sniper under the constraints of the scenario.

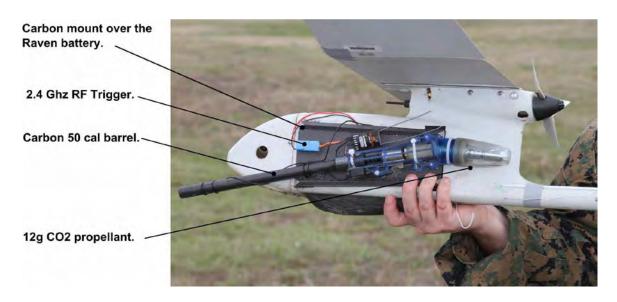


Figure 26. Non-lethal weaponized Raven B during CBE 11-2, Avon Park, FL



Figure 27. Non-lethal weaponized Raven B during CBE 11-2, Avon Park, FL

The Raven is normally flown using a GCS and a down looking camera (~30 degrees) built into the nose cone. This camera cannot be used to search, acquire, and aim the gun/UAS at a target because the extreme angle of the down looking camera. At low altitudes a ground target is only presented on the screen for a very short amount of time depending on how low the UAS is flying and the vehicle airspeed. In order to allow the operator more time to search, acquire, and track a target at low altitudes, a COTS

lightweight (~2 gram) 60 degrees FOV camera was mounted onto the composite barrel. This could then serve as the primary camera to both fly and search for the targets (Figure 27). The camera was rather easily integrated into the Raven avionics and GCS video and was bore sighted so that a cross-hair on the operator's HUD corresponded with where the round would hit.

The forward looking camera allowed the operator to fly from first person video (FPV) using only the video (not looking at the aircraft itself) and track the target once it appeared in the screen. The video feed was also viewed from a second screen where the Payload Operator (PO) could see the exact same image as the pilot and could then switch off the gun safety and hit the trigger to fire the weapon. Raven operators are not used to flying FPV; however, the WinTec operator flying the Raven during this experiment surprisingly only needed a few minutes and 3 passes to reach his comfort level and to engage the target. A man sized target was marked in bright orange on a 4x8 ft sheet of plywood and stood upright against the side of a High Mobility Multipurpose Wheeled Vehicle (HMMWV) to simulate an enemy sniper standing near a parked car. The target can be seen in Figures 28 and 29.



Figure 28. Target used in the nonlethal weaponized RQ-11B experiment during CBE 11-2, Avon Park, FL



Figure 29. First person RQ-11B pilot and trigger operator view on RQ-11B GCS flying the nonlethal weaponized RQ-11B firing at man-sized target during CBE 11-2, Avon Park, FL

The flight profile consisted of a 100–150 ft pattern until final approach when the aircraft started a decent at the target. Neither of the operators looked up from their video displays during the flight in order to simulate attacking a sniper with enough standoff that the Raven operator would not have direct un-aided visual LOS to the sniper or the Raven. The Raven is depicted moments after firing at the target in Figure 29, and a screen capture from the video feed used by the operators, a fraction of a second after the trigger was pulled showing the paint ball departing the barrel. The altitudes at the time of trigger pull varied 20 ft \pm 5 ft, with each flight depending on the approach profile and wind gusts, and the lateral separation from the target was 80 ft \pm 20 ft. The gun was tested for accuracy while bore sighting it prior to the experiment at a range of 80 ft against a target smaller than a person.

Time to launch and recover the Raven was difficult to calculate because of the experimental gun. Because the gun was the lowest point on the aircraft in flight and because the Raven is recovered by entering a deep stall or manual "crash," the gun barrel

was designed to break away upon landing. Consequently, the barrel had to be re-adjusted before the Raven could be re-launched, which resulted in lost time. Since we only had one proof-of-concept payload this wasted time; however, if the payload was designed to be expendable it could be discarded following a flight and a new one quickly reloaded for the next flight.

A total of 8 flights (launch, fire, recover) resulted in one direct hit on the HMWWV turret just above the target, a hit 7 ft to the right of the target, and 6 shots fired missing the target at 10 ft or greater. Figure 29 is a screen shot captured from the recorded video footage from the Raven GCS. This is the exact same view used by the operator to fire at the target. The black semi-circle in the left side of the screen is the gun barrel and the blue .50 cal paintball can be seen departing the barrel. The small cross-hair in the center of the screen is where the paintball actually struck the HMWWV. Wind gusts were the causal factor in the missed shots. Accuracy was important, but not the primary concern. The ability to hit near the target was proof enough that the system could, at a minimum, distract a target thereby possibly changing the way the adversary views all SUAS.

2. RQ-11 B Experiment Summary

Further work in pursuing the Raven as a possible platform to weaponize in support of counter-sniper operations would entail integrating a bore-sighted camera into the Raven avionics, which would require a modification to the current nosecone assembly. A triggering control mechanism would also have to be powered and integrated into the current avionics and GCS hand held controller. Aircraft payload considerations could be addressed through current technology small arms weapons that use case-less, electronically-fired small arms rounds. Thoughts going into the research were that a man-portable UAS in support of counter-sniper missions could be weaponized with a lethal payload made as a "kit" consisting of a disposable, extremely light weight (carbon fiber) material that contains multiple rounds that could be fired electronically. This would remove much of the weight associated with conventional small-arms weapons and may be lighter thereof the experimental system described here.

D. PELICAN QUADROTOR EXPERIMENT CAMP ROBERTS, CA

The Naval Postgraduate School routinely conducts experimentation at McMillan Airfield located in Camp Roberts Army National Guard Training site, CA. McMillan Airfield (FAA ID CA62) has a 3500 x 60 ft. / 1067 x 18 m asphalt runway, which provides a perfect environment for testing a multitude of UAS. This experiment was an individual experiment conducted March 21, 2011 between the hours of 1200–1700. The weather conditions were partly cloudy with variable winds 3–10 knots and the temperature was a high of 62 degrees Fahrenheit.

This was the second experiment in identifying the required design characteristics for a weaponized man-portable UAS in support of counter-sniper operations and the first experiment using a VTOL UAS. The purpose of this experiment was to weaponize a COTS quadrotor with the same nonlethal paintball gun used on the RQ-11 B experiment in order to compare the difference between a man-portable fix wing UAS and a man-portable VTOL UAS. The Pelican quadrotor was chosen, primarily for its availability within NPS for autonomy research and its autopilot capability. It also has a respectable maximum payload capacity of 500 grams. The same nonlethal payload that was used in the Raven experiment was also used in this experiment through slight modifications to test the concept of a single kit which could be added to more than one particular platform. The same RF links were used to control the trigger servo. The overall objective was to explore the difference between a VTOL and fixed wing SUAS in a counter-sniper role. Primary design concerns included the effectiveness of a hover and stare capability compared to a fixed-wing UAS that is constantly moving forward.

Quadrotor technology has undergone much advancement that has simplified the once complicated mechanically controlled flight control surfaces—into electronically controlled signals to the four motors. The rotors at the ends of each axis rotate in the same direction as each other, while rotating in the opposite direction of the rotors in the perpendicular axis in order to cancel out the torque. Roll, pitch, yaw, and altitude changes are all achieved through either increasing or decreasing the speeds of the motors

responsible for the intended outcome as depicted in Figure 30. Generally, the endurance of a quadrotor will typically be less than that of a fixed wing UAS.

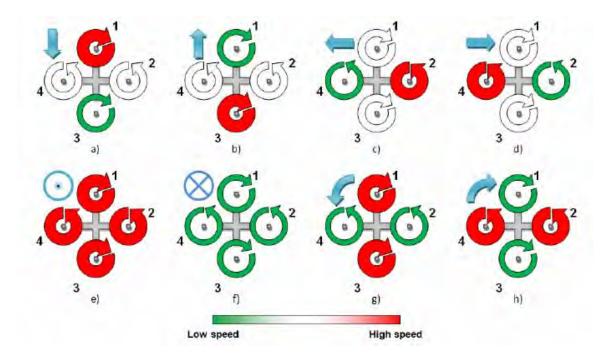


Figure 30. Illustration of various movements of a quadrotor (From Domingues, 2009)

Specific questions during the experiment were:

- 1. The trigger mechanism controllability, functionality, operator workload, and timing with the rest of the communications system.
 - 2. The armed Pelican flight characteristics at various profiles.
 - 3. The armed Pelican loiter time at various profiles.
- 4. The bore-sighted camera functionality and usability with the rest of the communications systems.
 - 5. The time required to launch, shoot, and recover the Pelican.
- 6. When fired, how accurate was the nonlethal payload with respect to target location.

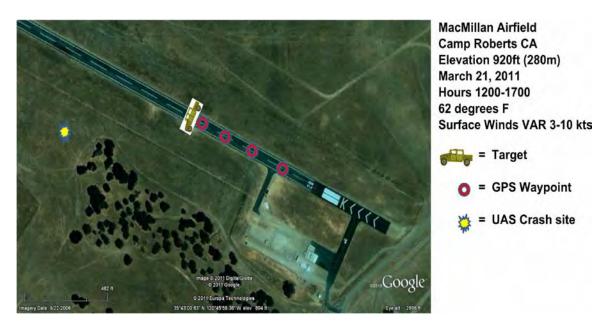


Figure 31. Pelican Quadrotor experiment Camp Roberts McMillan Airfield, CA

The Pelican quadrotor is manufactured by Ascending Technologies and has been a popular vehicle within many research institutions that focus on UAS and autonomy (Table 9). The Pelican was modified with a Surveyor SRV-1 Blackfin camera that included a 500MHz Analog Devices Blackfin BF537 processor, 32MB SDRAM, 4MB Flash, and Omnivision OV7725 VGA low-light camera. The video signal was transmitted through a Matchport WiFi 802.11b/g radio module (Figure 33). Since this vehicle was purely COTS, the video feed had to be integrated from scratch; however, the video could be easily integrated into an existing SUAS with an existing GCS. The Pelican was modified with an identical wireless triggering system as used on the RQ-11 B experiment. Additionally, the vehicle does not arrive out of the box with a landing gear suitable for this type of experiment, so a landing gear had to be manufactured and added, which also provided a mounting point for the paintball gun payload.

Table 9. Ascending Technologies Pelican Quadrotor Specifications (From AscTec, 2011)

| Design Feature | Specification |
|------------------------|--------------------------------------|
| Standard Payloads | EO analog or digital wireless camera |
| Range | close range |
| Endurance | 20 minutes |
| Speed | 40 km/h |
| Max Windload | 36 km/h |
| Max Payload | 500 g |
| Ground Control Station | Independent system |
| Launch and Recovery | Vertical takeoff and landing |

1. Quantitative/Qualitative Results for Pelican

Prior to taking flight, the camera had to be bore sighted with the paintball gun. This was accomplished at a range of 80 ft at a 2 ft x 3 ft target. Once the camera was bore sighted, an actual target/scenario was setup much like the one used in the RQ-11 experiment. An HMMWV was placed on the runway and a mannequin was stood out in front of the vehicle in order to simulate an enemy sniper standing in the open near a vehicle (Figure 34). Being the first experiment using a quadrotor, the ad hoc ground control station was established within 150 ft of the target so that the vehicle could be easily observed as it approached and fired at the target. GPS waypoints were set into the autopilot in order to have the vehicle takeoff from a distance of approximately 800 ft from the target, follow the waypoints, come to a hover 80 ft in front of the target (as seen in Figure 31, fire at the target, and return to the takeoff point via the waypoints.

Unlike the RQ-11B, this UAS did not come with a GCS or an easy way to integrate video for targeting, which meant the experiment required multiple communications frequencies, a laptop computer to serve as a GCS, and a laptop computer to process the video feed for the trigger operator. Ascending Technologies did provide autopilot software for the Pelican (Figure 32), which enables point and click navigation, pertinent flight data, and vehicle onboard battery status.

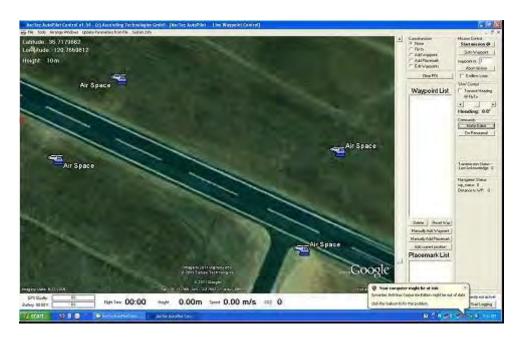


Figure 32. Ascending Technologies AscTec autopilot interface

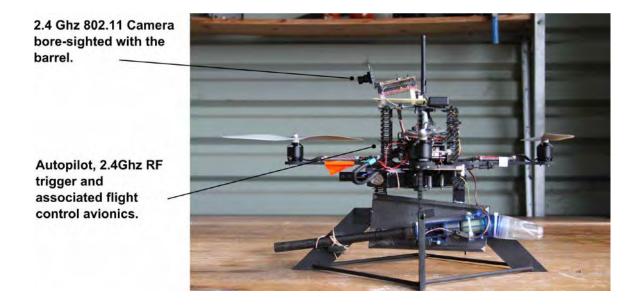


Figure 33. Modified Ascending Technologies Pelican quadrotor with wireless camera and nonlethal paintball gun



Figure 34. Pelican quadrotor armed with nonlethal paintball gun hovering in front of the target.

The UAS was prepared for flight by arming it and ensuring it was receiving the proper satellite information required by the autopilot; however, upon take-off it was very apparent the autopilot was not functioning properly. Because the autopilot was not functioning, the vehicle was repositioned and launched from a location 80 ft in front of the target. This would allow the vehicle to takeoff and hold position only using GPS position hold so that it could then be manually aimed and fired. Upon takeoff it was also apparent that the GPS position hold was not working as the operator attempted to maintain control of the vehicle over the intended spot.

After numerous attempts to get the GPS hold feature to work, the operator tried to fly the vehicle manually in order allow the trigger operator a chance to fire the paintball gun at the target. Attempts at manual flight, compounded with gusting winds and lack of operator proficiency resulted in out of control flight and the vehicle impacting the ground approximately 800 ft to the west of the target. The impact did not cause major damage; however, the combination of this and the inoperable GPS hold led to the decision to terminate the experiment.

2. Pelican Quadrotor Experiment Summary

Rotary wing SUAS like the quadrotor bring many benefits that cannot be achieved with a fixed wing vehicle. The hover and stare capability and the ability to get extremely close to a target all add to increase the chance of success when countering a sniper. However, the amount of skill required to manually operate a rotary wing UAS cannot be underestimated. This vehicle would require autonomous GPS waypoint navigation capabilities much like the current SUAS to include altitude hold and GPS ground position hold while hovering. Manual override by the operator to aim a weaponized payload would be more effective if done through a steering mode which allowed for partial manual override to make yaw corrections or slight positional corrections. The vehicle GCS controller should essentially by similar to a video game joystick where the operator can maneuver the vehicle around the Z axis (yaw), while the computer maintains X and Y axis parameters. Once the operator releases the controller, the computer would immediately regain control to maintain the X, Y, and Z parameters.

E. TNT/CBE 11-3 EXPERIMENTS CAMP ROBERTS, CA RQM-151 POINTER AND GAUI 330X QUADROTOR

This was the third set of experiments with the objective of identifying the design requirements for a weaponized man-portable UAS in support of counter-sniper operations. The experiments were conducted at Camp Roberts, CA at MacMillan Airfield, (Identifier CA62) from May 8–10 during the hours of 1100–1700. Weather conditions were mostly sunny, maximum temperatures 82 degrees Fahrenheit, surface winds 5–10 knots. Specific questions during the experiment were:

- 1. The trigger mechanism controllability, functionality, operator workload, and timing with the rest of the communications system.
 - 2. The armed RQ-151 and Quadrotor flight characteristics at various profiles.
 - 3. The armed RQ-151 and Quadrotor loiter time at various profiles.
- 4. The bore-sighted camera functionality and usability with the rest of the communications systems.

- 5. The time required to launch, shoot, and recover the RQ-151 and Quadrotor.
- 6. When fired, how accurate was the nonlethal payload with respect to target location.

The focus of effort during Combat Based Experiments (CBE) 11-3 was to mount a small nonlethal proof of concept weapon onto the RQ-151 Pointer UAS and experimental quadrotors. The Pointer was chosen because of its similarities in size, weight, and payload capacity to AeroVironment's Puma UAS. The Puma is currently only available to the Special Operations community but they are in very high demand with these forces. Acquiring one for experimental purposes was not feasible. There are currently no Quadrotor SUAS within the DoD, which limited the experiments to depending on hobby- and research-based vehicles. The only man-portable VTOL SUAS within DoD is the RQ-16 T-Hawk, which is made by Honeywell. The T-Hawk is also in very short supply, and is also being heavily used in both Iraq and Afghanistan in support of combat operations. Furthermore, the T-Hawk weighs approximately 20 lbs, which is the upper limit the back packable weight of a UAS.

1. Quantitative/Qualitative Results for Pointer

The Pointer, by UAS standards, is an extremely old airplane. AeroVironment started developing it in 1986 and the first models were delivered to the USMC in 1988 (Table 10). However, it can be flown using the current ground control station used by Raven, Wasp and Puma, and it also maintains many of the same capabilities. The Pointer used during the experiments had been modified over the years in order to support other experiments, which made the exact payload capacity extremely difficult to determine. Estimates by WinTec were that it could fly with no more than 1.5 lbs, using only one battery vs. the standard two (the utilized Pointer had been modified to fly using 1 or 2 Raven B batteries).

Table 10. RQ-151 Pointer specifications (From FMI 3-04.155, 2006)

| Design Feature | Specification |
|----------------|-------------------------|
| Length | 1.83 m (6 ft) |
| Wingspan | 2.74 m (9 ft) |
| Weight | 4.3 kg (9.6 lb) |
| Speed | 80 km/h (43 kt) |
| Ceiling | 300 m (985 ft) |
| Mission Radius | 5 km (2.7 nm) |
| Endurance | 60 Minutes |
| Propulsion | Electric 300 watt motor |

Payload capacity and aerodynamic drag were the limiting factors in the payload design but of most concern was the weight. Off-the-shelf, multiple-shot paintball guns are extremely heavy usually weighing in over 1,500 grams without the air supply to propel the rounds. Before modification, the gun used in this experiment weighed 1.79 lbs (813 grams). This far exceeded our goal of 454 grams, and this did not include a camera or air supply to fire the gun. The smallest high pressure air tanks available are also extremely heavy weighing in excess of 440 grams. By purchasing one of the lightest guns on the market as much unnecessary weight as possible was stripped off in order to get the total gun weight down to a total weight of 515 grams, which included an air tank. A significant amount of weight was reduced by using a low weight/low pressure air tank of only 35 grams (Figure 35).

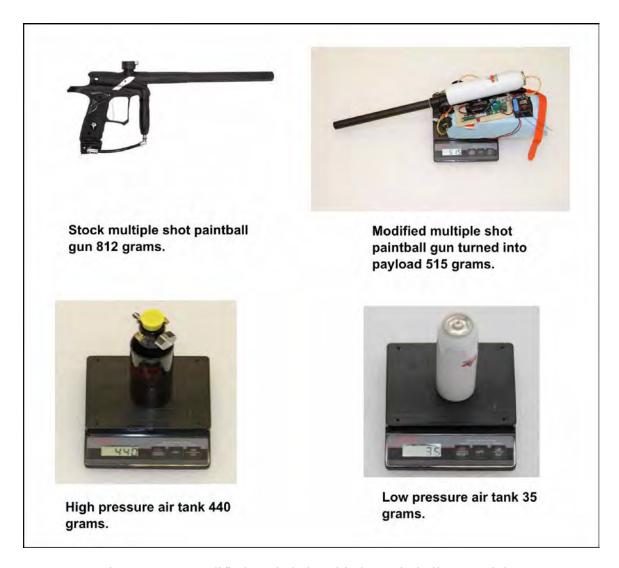


Figure 35. Modified nonlethal multi-shot paintball gun weights

1. The first test was to check the trigger mechanism. Specifically, we wanted to ensure high power output of the Pointer communications link would not overpower the lower power frequency used by the remote controlled gun trigger. The triggering system was implemented using COTS Spektrum 2.4 GHz, 6-Channel receiver and a COTS Spektrum handheld RC Radio. The safety mechanism was designed so the operator would be required to hold down a switch to disable the safety, and press a second switch to fire. The trigger was tested successfully.

2. The second test was to check the flight characteristics of the Pointer while carrying the small nonlethal payload. The payload was designed to mount asymmetrically on the port side of the aircraft and shifted aft in order to preserve cg (Figures 36 & 37). The Pointer fuselage was used to stow the air supply and battery. Total payload weight was 515 grams (~18.17 oz. or 1.34 lbs) in addition to the EO camera, supplied by WinTec, and weighed approximately 60 grams. The camera, which is normally mounted in the nose of the aircraft, had to be removed and attached to the barrel in order to accurately bore the two. The asymmetric payload and added weight and parasitic drag were a concern as the Pointer was never designed to carry this type of payload.

Functionality, not aerodynamics, was the priority in designing the payload. The winds throughout the experiment were variable from 5–10 knots. Normally this would be ample winds to hand launch the Pointer but in order to increase the success of flight, the Pointer was hand launched from the rear of a slow moving vehicle. The first launch and all subsequent launches were successful. Flights throughout May 8 2011 incurred a noticeable "porpoising," which was worsened as the vehicle turned crosswind. The operator attempted to "fight through" as he manually flew the vehicle, but after 2 more launches and recoveries, the porpoising continued to the extent of making it impossible to fire on any targets.

On May 9, 2011, it was discovered that the cg was too far aft. This was immediately confirmed following the first launch when the vehicle flew normally. Now the operator and gunner could focus on firing on the target. All recoveries were conducted by flying the Pointer in at a very low altitude and stalling it out at the last minute in order to skid the vehicle in with the least amount of airspeed as possible. The normal recovery methods for a pointer are much like those of the Raven and Puma, which are to enter a deep stall and allow the vehicle to impact the ground. Original Pointer maintenance cycles limit the vehicle to 100 flights and landings before returning it for factory level maintenance. Because the Pointer is no longer produced or supported, recoveries have to be forgiving in order to get the most use out of the existing vehicles.

3. Original Pointer specifications under normal operating conditions stated loiter time of approximately 60 minutes. This was based on older battery technology so it was assumed this would be different. During this experiment a single battery was used in the Pointer, the same battery that is used in the much smaller Raven. After the first launch on May 8 2011, the Pointer flew for 25 minutes with some battery power left over. This is significant because the flight profiles were much more strenuous than what would be required of the aircraft during a normal ISR mission, where it would normally just climb to 300 ft AGL and maintain a cruise airspeed until it was recovered. The targeting flight profiles require numerous dives and climb outs, which require much higher output from the motor and are much more taxing on the battery.

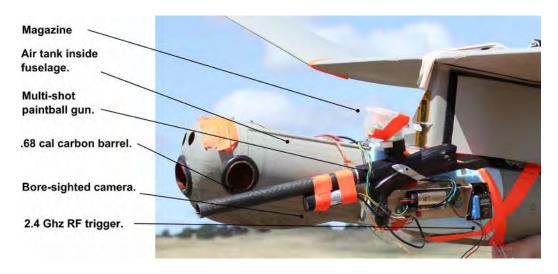


Figure 36. Nonlethal paintball gun payload aboard the RQ-151 Pointer



Figure 37. Nonlethal weaponized RQ-151 Pointer used during TNT/CBE 11-3 counter-sniper scenario



Figure 38. Counter-sniper target scenario using RQ-151 Pointer and man-sized mockup during TNT/CBE 11-3

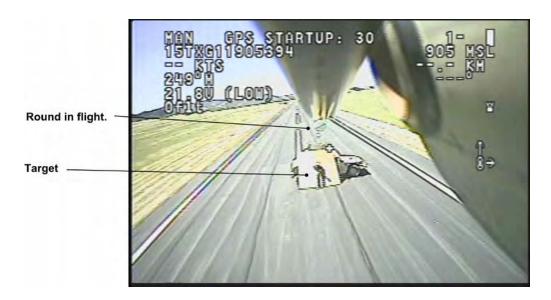


Figure 39. First person RQ-151 Pointer pilot and trigger operator view on RQ-11B GCS flying the nonlethal weaponized RQ-11B firing at man-sized target during TNT/CBE 11-3. The interlaced video composite shows the paint ball at two times during the shot

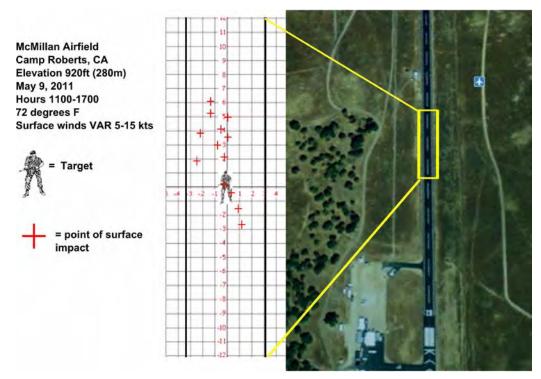


Figure 40. Nonlethal weaponized Pointer counter-sniper scenario impact measurements during TNT/CBE 11-3

4. Like the Raven, the Pointer is normally flown using a GCS and a down looking camera (~30 degrees) built into the nose cone. In order to allow the operator more time to search, acquire, and track a target at low altitudes, a COTS camera with a 60 degrees FOV was mounted onto the composite barrel, which could then serve as the FPV primary camera to navigate and search for targets. Additionally, the camera was integrated into the avionics and GCS video and was bore sighted so that a cross-hair on the operator's HUD corresponded with where the round would hit.

Unlike the Raven experiment, the Pointer operator did not fly the entire flight using FPV. Much of the time he was looking at the aircraft. However, the PO was using FPV to target and fire. This is significant because it highlights the potential complications with operators flying from a FPV. A man sized mannequin was used as the target and placed in front of two 4x8 ft sheets of plywood and placed against the side of a HMMWV to simulate an enemy sniper standing near a parked car. The target can be seen in Figures 38 and 39.

The flight profile consisted of a 100–150 ft pattern until final approach when the aircraft started a decent at the target. The operator partially used FPV and "Radio Control" (RC) flying techniques while the PO used FPV. The FPV view can be seen in Figure 39 as well as one of the paintball moments after firing and departing the barrel towards the target. The altitude at the time of trigger pulls varied 20 ft \pm 5 ft with each flight depending on the approach profile and wind gusts, and the lateral separation from the target was 80 ft \pm 20 ft. The gun was tested for accuracy while bore sighting it prior to the experiment at a range of 80 ft against a target smaller than a person.

- 5. Time to launch and recover the Pointer was delayed due to the time required to refill the air supply, reload rounds, and replace the battery. The payload was affected much less by the recoveries than the Raven because on the Pointer the barrel was not the lowest part of the aircraft. On two recoveries the rubber air supply line was severed when the weight of the aircraft shifted onto it and forced it to rub along the runway.
- 6. The results of the 8 flights (launch, fire, and recover) can be seen in Figure 40. There were a total of 13 impacts, of which two were within 3 feet of the target. Because there was no flat surface, such as a wall, to measure the exact location of the rounds as it passed the target, it is difficult to know just how close many of the rounds were to hitting the target. The impact marks were all calculated; however, they do not give an accurate representation of the true proximity to the target. For example, many of the rounds landed directly behind the target. Some of them could have narrowly missed the target, then continued to fly 30–40 ft past the target before impacting the runway-skewing the resultant deviations with respect to the target.

2. Quantitative/Qualitative Results for Quadrotor

In the March 22, 2011, experiments, we tested the Pelican quadrotor because of its increased payload capacity compared to Raven. Unfortunately, the autopilot problems could not be solved before entering this phase of experimentation so a second model quadrotor was chosen to test some of the basic functionality of firing a nonlethal paintball

gun from this type of UAS. The GAUI 330X (Table 11) was chosen because it has become a favorite among RC hobbyist primarily because of its low cost, stability, and crash worthiness. The vehicle comes standard with a 3-axis stabilizing system for beginner fliers and to facilitate FPV. The Pelican costs approximately \$8,000 USD and because of the design, requires a few hours to repair in the event of a crash. At only \$400 USD, the GAUI does not come with an autopilot, but is easier and cheaper to repair following a crash. It was designed with a collapsible body and breakaway points for quick repairs.

Table 11. GAUI 330X Quadrotor specifications (From TSH Gaui, 2011)

| Design Feature | Specification |
|-----------------------|--|
| Crossing Distance | 330 mm (Shaft to Shaft) |
| Propeller size | 8 inches |
| Motor | KV1100 brushless motor |
| ESC | 7A ESC |
| Weight | 400 g (Flying weight without battery) |
| Maximum Flying Weight | 1100 g (Including Payload and Battery) |
| Battery | 7.4 V to 11.1 V (2S to 3S Lipo) |
| Flying duration | $7 \sim 20$ minutes |

The GAUI quadrotor out of the box weighs 400 grams without a battery. The max gross takeoff weight is 1100 grams. This allows for approximately 700 grams of payload. The payload used in this experiment was the same single shot paintball gun used in the Raven B experiment and an ACME FlyCamOne3 FC3000 (Table 12). Total payload weight with the camera and paintball gun was 310 grams. Total takeoff weight was 910 grams as seen in Figure 41. The camera specifications were as depicted in Table 12.

Table 12. FlyCamOne3 camera specifications (From FlyCamOne, 2011)

| Design Feature | Specification |
|------------------|-----------------|
| Size | 98 x 50 x 15 mm |
| Weight | 70 g |
| Video resolution | VGA 640 x 480 |
| FPS | 28 |
| Photo resolution | 1280 x 1024 |
| Battery | 500 mAh LiPo. |
| Focus | 0.3 m |
| Memory | 8 Gb micro SD |

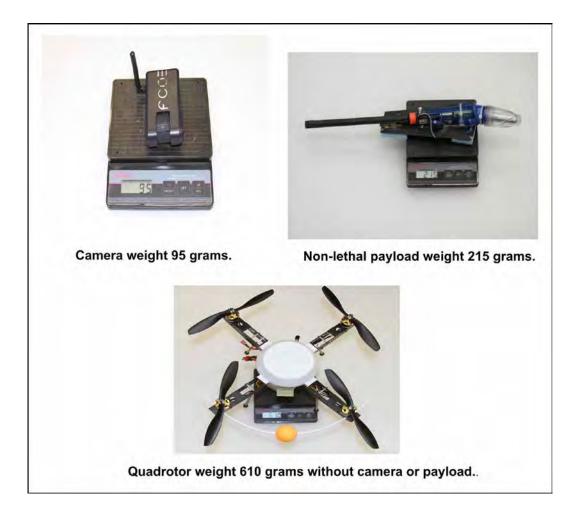


Figure 41. Weaponized quadrotor system weights

- 1. The trigger servo was controlled through a spare servo controller on the GAUI. While bore-sighting the paintball gun and camera prior to the experiments, we discovered it was easier for the operator to also fire the gun instead of adding in a trigger operator. This was accomplished through a two-position switch on the radio controller that the operator could quickly flip when he wanted to fire.
- 2. Quadrotor aircraft are much more stable than other rotary aircraft of a similar scale, but they generally require good deal of operator proficiency to fly manually. This is especially true in windy conditions or gusty, unpredictable winds. The wind conditions during the experiment were 5–15 knots with variable direction, which slightly complicated the process. After arming the paintball gun, each flight profile consisted of a vertical takeoff to an altitude of approximately 10 ft. The operator would then maneuver the aircraft to a position 60–80 ft in front of the target and hold a hover at around 10 ft altitude. After a few seconds of yaw and altitude adjustments to properly aim the gun, the operator fired at the target. Figure 42 depicts the experiment scenario.



Figure 42. Counter-sniper target scenario using a quadrotor against a man-sized target during TNT/CBE 11-3

3. The endurance of the GAUI was approximately 10 minutes per battery during this experiment. Since we had another 190 grams of unused payload, it was possible to add a larger battery, which would have added some endurance.

- 4. The GAUI did not come with a GCS, autopilot software, or GPS navigation therefore this part of the experiment was not applicable. There were no noticeable communications problems between the video downlink and control links to the flight controls or gun trigger at these ranges.
- 5. The capability to take off and land vertically from a fixed position can be a definite advantage over the fixed wing UAS, which have to be hand launched from a standing position or by running. This simplicity was evident during the experiments, especially when it came to arming, and reloading the paintball gun. In a counter-sniper scenario, this capability could prove useful in the ability to launch the UAS from a moving vehicle or from small urban spaces without exposing the personnel. The Raven UAS requires 100 ft of lateral clearance in order to ensure a successful takeoff. This will differ slightly depending on wind speeds, direction, and pressure altitude. This lateral distance requirement would be more for the Puma or Pointer, which are much heavier vehicles. In a counter-sniper scenario, the operator would have to get to a secure clearing or to the roof of a building in order to launch the fixed wing UAS. This would obviously require more time than a quadrotor that could be launched from any position with vertical clearance and a small amount of lateral clearance.



Figure 43. First person quadrotor pilot and trigger operator view while firing at mansized target during TNT/CBE 11-3

6. Since the operator was flying manually, the accuracy of the bore sight between the camera and gun could not be immediately discerned. After completion of the experiments we reviewed the video and learned that the bore sight was surprisingly good. Figure 43 depicts the FPV that would have been used by the PO. The square box indicates the target area. In all instances where the operator fired, and the box was over the target, the rounds impacted very close to the target. A total of 6 shots were fired, of which 3 can be seen in Figure 44. One shot could be seen in the video review passing within inches of the target's head, and 2 shots impacted in unknown locations. We also observed that the quadrotor gave the operator much more time on target, therefore increasing the chance of hitting the target. During both the Raven and Pointer experiments the trigger operator had only a fraction of a second to a second at most to get a shot off and this was usually anticipating the target appearing on the cross hairs in the video. This time was much greater when using the quadrotor at 2-4 seconds. The tradeoff to this added time on target is that the quadrotor would, in theory, be more susceptible to return fire by a sniper. However, there may be a benefit to using the UAS

as a decoy to draw fire. In the end, the priority would not necessarily be to kill the sniper, but to gain his attention long enough to change the equation in favor of the victim which could be through firing small arms in his general area.



Figure 44. Nonlethal weaponized quadrotor counter-sniper scenario paintball impact locations

3. CBE/TNT 11-3 Weaponized Pointer and Quadrotor Experiment Summary

The experiments were beneficial in identifying numerous data and factors associated with using UAS in this role:

- 1. Heavier fixed wing SUAS such as the Pointer or Puma provide a much more stable platform to fire; however, the time on target with all fixed wing SUAS was extremely limited when compared to a rotary wing UAS. The vehicle should be limited to 15 lbs and the total system should not weigh more than 60 lb.
- 2. Two key areas of future work are in both quadrotor technology and in weapons to serve as the payload. Single shot small arms are not going to be worth the effort because of the low probability of kill vs. the risk and time required to land and reload. The triggering/firing mechanism would also have to be powered and integrated into the current avionics and GCS hand held controller. Aircraft payload considerations could be addressed through current technology small arms weapons, which use case-less,

electronically-fired small arms rounds. More area capability could come from a smaller, lighter HE round, such as the FRAG-12 19 mm warhead rounds, which are made up of a standard 3 inch, fin stabilized 12-gauge cartridge.

Recoil minimization will take some considerable work in the development of the payload, particularly if used on a quadrotor or similar vehicle that fires from a hover. The recoil was more noticeable when the gun was fired from the quadrotor. Since the Pointer had velocity in the same direction as the projective, the recoil was insignificant. On the quadrotor, the recoil did not adversely affect the first shot upon review of the video it would have significantly affected a second shot if it was immediately fired. The quadrotor would have required a small amount of time to stabilize onto the target before firing a second shot.

F. SYSTEM DESIGN REQUIREMENTS

Using the data gained through the literature reviews and field experiments, we will now summarize the conceptual design requirements for weaponizing a man-portable UAS in support of counter-sniper operations. There are really two extremes to the conceptual SUAS counter-sniper payload spectrum. On one extreme, there is an expendable UAS that carries a warhead. The operator will not get this asset back once it is employed; he has essentially used up his ISR UAS capability and his counter-sniper capability from the air. He will need a second ISR capability if he plans to be able to see over the next hill from the air.

On the other extreme, and the focus of this experiment, is to explore the feasibility of arming an ISR asset with less killing power while preserving the original requirements of the UAS. The experiments proved that from a functional aspect, these UAS could be used to fire at a target. The effects gained are very hard to measure and will heavily depend on the type of small arm used. A dead enemy sniper is the best possible outcome in these systems, but what guarantee does the expendable UAS carrying a warhead bring in achieving this goal, versus a distracted, displaced enemy sniper by a system on the other extreme? When comparing the costs of each weaponized UAS explored in this research, the Spike missile and Switchblade are orders or magnitude more than a small

arms payload. Collateral damage has to be considered and should be proportional to the threat. Yes, precision guided bombs can be dropped if close air support is available and the building with the sniper can be leveled to the ground, but then the building may have to be rebuilt or the mission just supported the adversary's information operations.

SUAS systems are designed to fulfill a wide variety of missions; however, these systems can be broken down into a handful of major functional components as seen in Figure 45. We will explore these components and how a payload of this type can be integrated into this type of system.

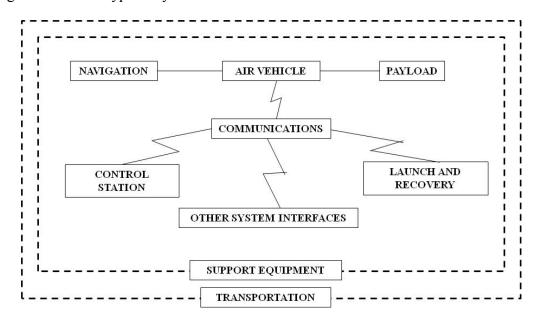


Figure 45. UAV system—functional structure (From Austin, 2010)

1. Navigation

SUAS depend on navigation through the GPS. There are two basic services associated with GPS that include the Standard Positioning Service (SPS) and the Precision Positioning Service (PPS). The SPS is the basic service that provides timing and position services to all users free of charge. SPS is provided through the L1 carrier and includes the C/A code and a navigation data message. SPS provides a position accuracy of 9 meters (95 percent) horizontally, 15 meters (95 percent) vertically and time transfer accuracy to UTC within 40 nanoseconds (95 percent). PPS is available for

authorized users and is transmitted on the L1 and L2 frequencies (Figure 46). It is denied to unauthorized users through encryption. PPS provides a higher accuracy rate than SPS, which includes 2.7 meters (95 percent) horizontally, 4.9 meters vertically and time transfer accuracy to UTC within 40 nanoseconds or better (95 percent). PPS can be authorized for non-federal government civilian use on a case-by-case basis (US Naval Oceanography Portal, 2011).

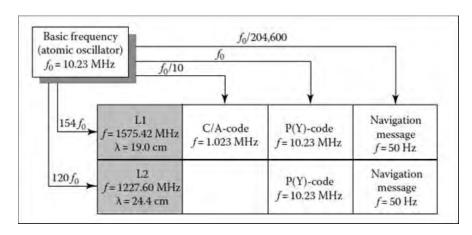


Figure 46. C/A and P(Y) code frequency differences (From Abidin, 2002)

Most SUAS within DoD operate using the P(Y) code in order to get the greatest position resolution and also to gain the GPS anti-jam benefits of the P(Y) code. Because SUAS are so dependent on GPS for their autonomy, their use in a GPS threatened environment has to be taken into consideration. More importantly, in order to use an SUAS to fire small arms at a sniper, the navigational system should be as precise as possible. Current SUAS within DoD all use the P(Y) code because of the advantages of increased navigational precision and anti-jam processing gains. Using the spread spectrum spreading gain formula, we can see the differences between the C/A and P(Y) code (Figure 47).

$$G_p = \frac{W_{ss}}{R}$$

$$G_{C/A} = \frac{1.023 \times 10^6 Hz}{50bps} = 20460 = 43dB$$

$$G_P = \frac{10.23 \times 10^6 Hz}{50bps} = 204600 = 53dB$$
Where G_p = Processing Gain
$$W_{ss} = \text{Spread Spectrum Bandwidth}$$

$$R = \text{Data Rate}$$

Figure 47. Processing gain comparison between the C/A and P(Y) code (From Hui Hu, 2009)

The sniper problem is deeply connected to urban conflict, which presents more opportunity for hidden GPS jammers. The global increase of available low cost GPS jammers pose a reasonable risk to successful SUAS operations if the systems are not designed to operate in a GPS denied environment. In order to spread the risk of losing SUAS capabilities within a GPS denied environment, the system should include the capability to navigate within more than one constellation, navigate within wireless mesh networks, and increase operator proficiency in FPV and manual flight. Additionally, the operator should be able to launch the vehicle without the requirement for a GPS starting coordinate.

2. The Air Vehicle

The counter-sniper mission dictates many of the parameters for the design of the air vehicle. The vehicle will be designed primarily for use with mounted or dismounted company sized elements and below. This means the system must be lightweight and highly portable. The vehicle should and have a quick assembly capability and easily prepared for launch in a very short amount of time. Airspeed should be 0–50 kt for a

minimum of 45 minutes day or night with a payload of at least 1 lb (16 oz). The system should not require more than two people to operate- one VO and one PO.

There are definite advantages and disadvantages to the type of vehicle used in this role and because the mission depends on many factors, there is no single solution. An urban launch position into an urban target area presents a short range profile and would most likely favor a multi-rotor VTOL. More open area, or open launch areas into urban targeting areas that require a long range (>3 km) would favor a fixed wing SUAS. If a quadrotor could be made with endurance somewhere in the middle of current quadrotor and fixed wing SUAS endurance, the quadrotor would bring significant advantages as a single solution.

Since counter-sniper operations will generally be very close to the maximum effective range of the sniper's weapon, the UAS should be able to fly out to that equivalent maximum range. The quadrotor must provide the operator with enough time to search the area, target if the opportunity presents, have a "go-around" capability for a few attempts, and return. All of this should be accomplished with very little workload to the operator other than FPV manually "aiming" in the terminal area of the target. In order to increase the time on target and overall chance of success of using a fixed wing SUAS in this mission, the vehicle would have to either have the ability to enter a slight dive profile or have enough lift to support a gimbaled weapon.

3. Launch and Recovery

The vehicle will be launched by hand standing in place, or by running start. The vehicle should be capable of being pre-positioned either on a vehicle or ultra portable launcher for quicker reaction times. Recovery methods should not require special equipment and should be accurate within a small area such as a rooftop or courtyard.

4. Control Station

The ground control station should be rugged, simple, and intuitive to operate. The video terminal should accommodate FPV for the VO and PO. The PO's RVT should be

intuitive and contain built in safety mechanisms. Currently fielded GCS already meet many of these requirements and could be used in support of these missions through some small modifications.

5. Communications

The system uplink should support a minimum range of 5 km. The link should also be encrypted, and accommodate multiple aircraft working within close proximity without interference, and incorporate a control hand-over capability between operators/controllers. The video signal and all signals required to operate the payload should also be include in the link.

6. Interfaces

The mission/flight planning interface should be easy to learn and buttonology on the GCS RVT should accommodate this. The vehicle will be capable of flying only ISR missions with the weaponized payload; however, the payload should easily interface with the SUAS with the need for additional time consuming steps. The video signal should also interface with other systems in order to share information with adjacent or higher units.

7. Payload

The payload will primarily consist of the weapon, however since the vehicle will also be used in support of ISR missions the cameras will play a major part of the payload design. Particularly, how the cameras will interface with the weapon while still serve ISR missions with as much effectiveness or more than current SUAS.

a. The EO/IR Camera

Current SUAS use a 5 megapixel EO camera, which has a digital zoom capability and is also digitally stabilized. The IR cameras have considerably less

resolution but this is made up for the advantages of capturing the thermal differential with enough resolution to effectively identify a target during ISR missions and could also be used in a counter-sniper role.

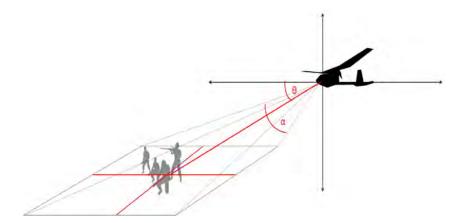


Figure 48. UAS camera look-down angle and vertical FOV

The field experiments highlighted the importance of the camera look-down angle and FOV. If the FOV is too small, there are not enough visual cues for the operator to effectively fly FPV but the target is easier to see. If the FOV is too large, it makes FPV flying easier because there are more visual cues, but the target now takes up fewer pixels and this makes acquiring it more difficult. Because fixed wing SUAS are constantly moving forward, the vertical FOV is of most concern once the target is acquired. In Figure 48 the look-down angle is represented by θ , and where the vertical FOV is represented by θ . Using a generic vertical FOV of 40 degrees, a look-down angle of 30 degrees from the horizon, a constant airspeed of 32 km/h (8.9 m/s –slowest Raven airspeed) and a constant altitude of 300 ft (91.44 m) AGL, a man-sized target would be within the FOV for 67.5 seconds and within the target cross-hairs for .224 seconds. This was reflected in our experiments, and the shots that impacted nearest the target were a result of effectively leading the target to make the most of the small amount of time on target.

Current fixed-wing SUAS have a look-down angle of approximately 30 degrees because it is the optimal angle for this mission. The extremely small TOT presented above can only be increased by decreasing θ , which means there would have to

be at least two cameras onboard for optimal performance of both ISR and counter-sniper missions, which would include a 30 degree down / forward looking camera, and a much smaller θ of 10 degree down / forward looking camera for FPV and which would be bore-sighted with the weapon. For ISR only missions, the FPV camera could be swapped for a 30 degree down / side looking camera.

b. The Weapon

Single shots small arms are not going to be worth the effort because of the low probability of kill vs. the risk and time required. The triggering/firing mechanism would have to be powered and integrated into the current avionics and ground station hand held controller. Aircraft payload considerations could be addressed through current technology small arms weapons that use case-less, electronically-fired small arms rounds. The payload should be easily attached and removed from the vehicle for reloading or for ISR only missions. The payload could consist of a "kit" made from a disposable, extremely light weight (carbon fiber) material, which contains multiple rounds that could This would remove much of the weight associated with be fired electronically. conventional small arms weapons. Conventional mechanically fired payloads will bring substantial weight to the system. Additionally, the electrically fired rounds may produce less recoil if the design were to allow the round to accelerate over more time vs. the time of a conventional small arms system. This may cost in muzzle velocity, but the reduced recoil may be needed as to not destroy the UAS and the ranges needed to be accurate would be much closer than normal small arms.

More lethal area capability could come from a smaller, lighter HE round, such as the FRAG-12 19 mm warhead rounds, which are made up of a standard 3 inch, fin stabilized 12-gauge cartridge. This type of round is much heavier and would certainly restrict the system to only one available round per mission, but the area coverage would be significantly increased.

8. Support Equipment and Maintenance

All required field repair and maintenance should be included with the system and be included in the overall system weight. There should be enough spare parts to fly at least 30 missions (1 per day for 1 month) without the need for resupply. The vehicle design should be so that any repairs can be done by the operator in an austere location. Major repairs should be supported at the nearest theatre service component wing level maintenance facility.

9. Transportation

The SUAS should be back-packable between two people. The vehicle should be limited to 15 lbs and the total system should not weigh more than 60 lb.

V. CONCLUSIONS AND RECOMMENDATIONS

A. SUMMARY AND CONCLUSION

The sniper threat will continue to pose problems for U.S. and Coalition forces throughout OIF and OEF and into future contingencies as urban sprawl continues to grow throughout the globe. Simultaneously, the information age has played a part in restricting traditional counter-sniper tactics which produce unwanted collateral damage. This research explored the design requirements of combining current UAS technology with small arms technology in order to counter the sniper threat. The sniper equation is not simple. Like any problem there are many variables which feed into the equation, and it was discovered that weaponizing SUAS can contribute to changing the equation into a less favorable outcome for the sniper.

Combat operations place the most amount of risk at the tactical level. Any increase in organic ISR capability at this level helps to mitigate the risk. Furthermore, any added capabilities to these ISR assets will also help abate risk. The adversary currently views SUAS as harmless ISR assets but by weaponizing them—it could change the way the adversary views SUAS all together.

These 'all or nothing' attitudes are each incorrect. High technology is not a silver bullet solution to insurgencies, but that doesn't mean that technology doesn't matter in these fights. In fact, far from proving the uselessness of advanced technology in modern warfare, Afghanistan and Iraq have for the first time proved the value of a technology that will truly revolutionize warfare—robotics. (Singer, 2011)

The cost of a small arms payload would be extremely attractive when compared to other weaponized UAS. By incorporating a scalable payload which can be fitted onto multiple vehicles, the overall system does not have to be designed from scratch in order to support this one new capability. Most importantly, the ISR capability that the vehicles were originally designed for is preserved. The ability to quickly and temporarily modify existing UAS was is an important aspect of this research. It is less costly to expand the capabilities of a commonly fielded system with modifications that produce expedient

results for the operators, than it is to make an entirely new system from scratch. Payloads can be modified and adapted much easier than the air vehicles which is what would be required of these systems.

By leveraging other systems, such as shot detection systems, an overall system of systems can be produced against a common problem. Each system researched would be much less effective without the other. However, combining systems which were not originally designed to work together will undoubtedly entail some level of tradeoffs. Tradeoffs are inherent to all engineering problems and this problem is no exception. The currently fielded SUAS have a limited service life before depot level maintenance is required. The maintenance cycle is measured in landings. Because they are usually deep stalled, each landing takes a toll on the airframe. Adding additional weight to the vehicle will induce premature wear and tear on the components. Based on this research, this tradeoff would be acceptable when compared to the benefits gained in added capabilities but the exact costs to the increased maintenance would have to be measured. This only applies to fixed wing SUAS. The nature of VTOL operations, in theory, should decrease the wear caused by landings and therefore mitigate the costs of combining the systems.

There is an increased skill level requirement to operate SUAS in this type of mission but the operators quickly adapted. First person video (FPV) flying plays a bigger role in these missions. It was also discovered that while current SUAS can be modified with a small arms capability, there may be more suitable platforms within the SUAS category depending on the need for VTOL or fixed wing vehicles and that the vehicle will bring different capabilities such as to time on target and endurance.

Finally, while proving that SUAS can be weaponized against a sniper threat we were not able to experiment with lethal weapons. Recommended future research includes lethal weapon experimentation in order to explore the effects of recoil on the multiple UAS vehicles while firing a single shot, versus multiple shots and to more accurately measure how the enemy will react to small arms. While conducting experiments during TNT and CBE, some unexpected research results came to light. The nonlethal weapon

system, which was designed to fire paintballs so that the impact marks could be easily identifiable, was also identified as a potential delivery mechanism for tracking, tagging, and locating agents (TTLI).

Many of the service components are tasked with the tagging, tracking, locating and identifying persons of interests or high value targets. These missions are extremely diverse and can be accomplished in countless ways depending on the target:

The Air Force wants a new kind of tracking tech in which a tiny drone surreptitiously 'paints' an individual with some kind of signal-emitting powder or liquid that allows the military to keep tabs on him or her. Or perhaps upload their coordinates to a hellfire missile. The AF has put out a call for proposals for such technology. Though they did not specify exactly what kind of drone might deliver the magic powder, or what the magic powder might be. (Dillow, 2011)

There is a significant amount of work to be done in how UAS can be weaponized to deliver these taggants and to also deliver nonlethal and less than lethal payloads such as chemical riot control rounds, deterrent rounds, or sting/stun grenades.

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